

# Representation theory of coherent configurations/algebras

M. Muzychuk

Ben-Gurion University of the Negev,  
Israel

The International Workshop  
G2R2  
Novosibirsk, Russia, August 13 - 18, 2018

# Linear representations of algebras

Let  $\mathcal{A}$  be a (finite dimensional) unital associative algebra over the field  $\mathbb{F}$ ;  $V$  a f.d. vector space over  $\mathbb{F}$ .

A homomorphism  $\Delta : \mathcal{A} \rightarrow \text{End}(V)/M_n(\mathbb{F})$  is called a (linear) **representation** of  $\mathcal{A}$  over  $\mathbb{F}$ . The vector space  $V$  becomes a **left  $\mathcal{A}$ -module** via  $A \cdot v = \Delta(A) \cdot v$ ;  $\dim(V)$  is called the **dimension** of  $V$ .

A linear function  $\chi : \mathcal{A} \rightarrow \mathbb{F}$  defined via  $\chi(A) = \text{tr}(\Delta(A))$  is called the **character** of  $\Delta$  afforded by  $V$ .

Two representations  $\Delta : \mathcal{A} \rightarrow \text{End}(V), \Delta' : \mathcal{A} \rightarrow \text{End}(V')$  are **equivalent/isomorphic** iff there exists a linear bijection  $L : V \rightarrow V'$  s.t.

$$\forall A \in \mathcal{A} \quad \forall v \in V \quad L(\Delta(A)(v)) = \Delta'(A)(L(v)).$$

# Decomposition of representations

- 1 Given an  $\mathcal{A}$ -module  $V$ , a subspace  $W \subseteq V$  is an  $\mathcal{A}$ -submodule/subrepresentation iff  $\mathcal{A}W \subseteq W$ .
- 2 Submodule is proper iff  $W \neq V$  and non-trivial if  $W \neq \{0\}$ .
- 3 The module  $V$  is a direct sum of its submodules  $V_1, \dots, V_k \subseteq V$  if  $V$  is a direct sum of  $V_1, \dots, V_k$  as a vector space.
- 4 An  $\mathcal{A}$ -representation/module is irreducible/simple if it does not contain non-trivial proper subrepresentations/submodules.

## Example

$$\mathcal{A} = M_n(\mathbb{F}), V = \mathbb{F}^n \text{ (column space)}$$

# Semisimple algebras

A module  $M$  over algebra  $\mathcal{A}$  is **semisimple** if it is a direct sum of simple modules.

An algebra  $\mathcal{A}$  over  $\mathbb{F}$  is called **semisimple** if its left regular module is semisimple  $\mathcal{A}$ -module.

## Definition

A subspace  $\ker(\Delta) := \{a \in A \mid \Delta(a) = 0_V\}$  is called the **kernel** of  $\Delta$ . A representation/module is **faithful** if  $\ker(\Delta)$  is trivial.

## Theorem

An algebra is semisimple iff it has a faithful semisimple module.

In what follows  $\mathcal{A} \subseteq M_\Omega(\mathbb{C})$  is an arbitrary subalgebra with  $I_\Omega \in \mathcal{A}$ . The vector space  $\mathbb{C}^\Omega$  is a faithful  $\mathcal{A}$ -module, called the **standard** module. The character of the standard module is denoted by  $\tau$ .

# Standard module and Hermitian form

We define a Hermitian form on the standard module  $V = \mathbb{C}^\Omega$  via  $(f, g) := \sum_{\omega \in \Omega} f(\omega) \overline{g(\omega)}$ . The "induced" form on  $M_\Omega(\mathbb{C})$  is defined via

$$(A, B) := \sum_{\beta \in \Omega} (A^\beta, B^\beta) = \sum_{\alpha, \beta \in \Omega} A_{\alpha\beta} \overline{B_{\alpha\beta}} = \text{tr}(A \overline{B}^\top).$$

In what follows we abbreviate  $\overline{A}^\top$  as  $A^*$ .

## Proposition

- $(A, B) = \tau(AB^*)$ ;
- $(Au, v) = (u, A^*v)$ ;
- $(AB, C) = (B, A^*C) = (A, CB^*)$

# Semisimplicity Theorem

## Theorem

Let  $\mathcal{A} \subseteq M_{\Omega}(\mathbb{C})$  be a matrix subalgebra closed w.r.t. Hermitian transposition  $A \mapsto A^*$ . Then  $\mathcal{A}$  is semisimple.

## Proof.

Main idea: if  $U \leq V$  is  $\mathcal{A}$ -invariant, then  $U^{\perp}$  is  $\mathcal{A}$ -invariant.  
 $V$  is an orthogonal sum of minimal  $\mathcal{A}$ -invariant subspaces. □

# Artin - Wedderburn Theorem

## Theorem (Artin - Wedderburn)

A finite dimensional semisimple algebra  $\mathcal{A}$  over the field  $\mathbb{F}$  is isomorphic to a direct sum of matrix algebras  $M_{n_i}(D_i)$  where  $D_i$  is a division algebra over  $\mathbb{F}$ .

If  $\mathbb{F}$  is algebraically closed, then  $D_i = \mathbb{F}$ .

## Corollary

Let  $\mathcal{A} \subseteq M_n(\mathbb{C})$  be a subalgebra closed w.r.t. Hermitian transposition. Then  $\mathcal{A} \cong \oplus_i M_{n_i}(\mathbb{C})$ . In particular,  $\dim(\mathcal{A}) = \sum_i n_i^2$ .

## Corollary

If  $\dim(\mathcal{A}) \leq 3$ , then  $\mathcal{A}$  is commutative.

## Theorem (Higman)

Let  $\mathcal{A}$  be a coherent algebra of dimension at most 5. Then  $\mathcal{A}$  is commutative.

# An Example: a strongly regular decomposition of a complete graph

## Theorem

Let  $K_n = S_1 \cup S_2 \cup S_3$  be a decomposition of a complete graph into a disjoint union of strongly regular graphs. Then  $\mathcal{C} = \{1_\Omega, S_1, S_2, S_3\}$  is an association scheme.

## Proof.

Let  $A_i$  denote an adjacency matrix of  $(\Omega, S_i)$ . Define  $\mathcal{A}_0 = \langle I, A_1, A_2, A_3 \rangle$ . We have to show that  $\mathcal{A}_0$  is subalgebra of  $M_\Omega(\mathbb{C})$ .

- $\mathcal{A}_0^\top = \mathcal{A}_0$ .
- $J = I + A_1 + A_2 + A_3 \implies \mathcal{A}_0 = \langle I, A_1, A_2, J \rangle$ .
- $A_i^2 = k_i I + \lambda A_i + \mu_i (J - A_i - I) \in \mathcal{A}_0$ .
- $A_i A_j + A_j A_i = (A_i + A_j)^2 - A_i^2 - A_j^2 \equiv (A_i + A_j)^2 \pmod{\mathcal{A}_0} \equiv (J - I - A_k)^2 \pmod{\mathcal{A}_0} \equiv 0 \pmod{\mathcal{A}_0}$ .



# End of the proof

- $\mathcal{A} := \mathcal{A}_0 + \langle A_1 A_2 \rangle = \mathcal{A}_0 + \langle A_2 A_1 \rangle$ .
- $\mathcal{A} = \langle I, A_1, A_2, A_1 A_2, J \rangle$  is closed w.r.t. matrix multiplication.
- If  $\mathcal{A}_0 = \mathcal{A}$ , i.e.  $A_1 A_2 \in \mathcal{A}_0$ , then we are done.
- Otherwise,  $\mathcal{A}$  is a 5-dimensional non-commutative hermitian closed matrix algebra.
- $\mathcal{A}$  has 2 irreps  $\Delta_0, \Delta_1$  with  $\Delta_0(I) = 1, \Delta_1(I) = 2$ .
- $\Delta_0(A_i) = k_i, m_0 = 1$ .
- Let  $\tau(A), A \in M_\Omega(\mathbb{C})$  denote the trace of  $A$ . Then  $\tau = \chi_0 + m_1 \chi_1 \implies \tau(A) = \chi_0(A) + m_1 \chi_1(A)$  for all  $A \in \mathcal{A}$ .
- $A = I \implies |\Omega| = 1 + 2m_1$ ,  
 $A = A_i \implies 0 = k_i + m_1 \chi_1(A_i) \implies k_i = m_1 u_i, u_i \in \mathbb{Z}$ .
- $1 + 2m_1 = |\Omega| = 1 + k_1 + k_2 + k_3 \geq 1 + 3m_1$ . A contradiction.

An alternative proof based on graph eigenvalues was proposed by E. van Dam.

# Semisimplicity

- the standard module  $V := \mathbb{C}^\Omega$  has a decomposition into a direct sum of irreducible submodules:  $V = m_1 V_1 \oplus \dots \oplus m_\ell V_\ell$ , where  $V_i$ 's are pairwise non-isomorphic. This provides a decomposition of the standard character into the sum of irreducibles:  $\tau = \sum_{i=1}^{\ell} m_i \chi_i$ .
- $\mathcal{A} \cong M_{n_1}(\mathbb{C}) \oplus \dots \oplus M_{n_\ell}(\mathbb{C})$ .
- $\Delta_i : \mathcal{A} \mapsto M_{n_i}(\mathbb{C})$  and  $\Delta_i(\mathcal{A}) = M_{n_i}(\mathbb{C})$ .
- $I_\Omega = E_1 + \dots + E_\ell$  where  $E_i$  is a **central primitive idempotent** of  $\mathcal{A}$  corresponding to  $\Delta_i$ , i.e.  $\Delta_i(E_j) = \delta_{i,j} I_{V_i}$  and  $\chi_j(E_i A) = \delta_{i,j} \chi_j(A)$ .
- $E_i \mathcal{A}$  is a two-sided ideal of  $\mathcal{A}$  isomorphic to  $M_{n_i}(\mathbb{C})$ .

# Central primitive idempotents

Given a function  $\theta : \mathcal{A} \rightarrow \mathbb{C}$ , we abbreviate  $\theta(A(R))$  as  $\theta(R)$ .

## Proposition

Let  $E_i$  be a primitive c. idempotent corresponding to  $\Delta_i$ . Then

- 1  $E_i = m_i \sum_R |R|^{-1} \chi(R^*) A(R)$ ;
- 2 If  $pr_1(R) \neq pr_2(R)$ , the  $\chi_i(R) = 0$ .

## Proof.

Write  $E_i = \sum_{R \in \mathcal{C}} x_R A(R)$ .

$$\tau(E_i A(R^*)) = \sum_{i=1}^{\ell} m_i \chi_i(E_i A(R^*)) = m_i \chi_i(R^*).$$

On the other hand,  $\tau(E_i A(R^*)) = \tau(E_i A(R)^*) = (E_i, A(R)) = x_R |R| \Rightarrow x_R = m_i \frac{\chi_i(R^*)}{|R|}$ . □

# Principal representation

## Proposition

Let  $(\Omega, \mathcal{C})$  be a co.co. with  $f$  fibers:  $\Omega_1, \dots, \Omega_f$ . Then

- 1 If  $R \in \mathcal{C}$  then  $A(R)\underline{\Omega_j} = k_R \underline{\Omega_j}$  where  $\Omega_i = pr_1(R), \Omega_j = pr_2(R)$ ;
- 2 The linear span of  $\underline{\Omega_i}, i = 1, \dots, f$  is an irreducible  $\mathcal{A}$ -submodule. The corresponding representation is called **principal** (notation  $\Delta_0, \chi_0 := \text{tr}(\Delta_0)$ ).
- 3  $pr_1(R) = \Omega_i, pr_2(R) = \Omega_j \implies \Delta_0(R)_{i'j'} = \delta_{i'i} \delta_{j'j} k_R$  and zero otherwise;
- 4  $\dim(\Delta_0) = f, m_0 = 1$ ;
- 5  $E_0 = \sum_{j=1}^d \frac{1}{|\Omega_j|} J_{\Omega_j}$ .

If  $(\Omega, \mathcal{C})$  is homogeneous, then  $\Delta_0$  is one-dimensional representation of multiplicity 1.

# Higman's Theorem

The principal irrep is a unique irrep of  $\mathcal{A}$  iff  $(\Omega, \mathcal{C})$  is the discrete configuration. What can we say if  $\mathcal{A}$  has exactly two irreps: the principal and another one. We start with a homogeneous case.

## Theorem (Higman)

Let  $(\Omega, \mathcal{C})$  be a homogeneous c.c. If  $\mathcal{A}$  has only two irreducible representations  $\Delta_0, \Delta_1$ , then  $|\mathcal{C}| = 2$ .

### Proof.

$$|\mathcal{C}| = 1 + n_1^2, n_1 = \dim(\Delta_1).$$

$$\begin{aligned} \tau = \chi_0 + m_1 \chi_1 &\implies 0 = \tau(S) = k_S + m_1 \chi_1(S) \implies \\ \chi_1(S) &= -\frac{k_S}{m_1} \implies \chi_1(S) \leq -1. \text{ Hence } 0 = \chi_1(J) = \\ \chi_1(I) + \sum_{S \in \mathcal{C}, S \neq I} \chi_1(S) &\leq n_1 - (|\mathcal{C}| - 1) = n_1 - n_1^2 \implies n_1 = 1. \end{aligned}$$

□

## Corollary

If  $|\mathcal{C}| \leq 5$ , then  $\mathcal{C}$  is commutative, and, therefore, homogeneous.

# Coherent configuration of a symmetric block design

Let  $(\Omega_1, \Omega_2, S)$ ,  $S \subseteq \Omega_1 \times \Omega_2$  be a  $2 - (v, k, \lambda)$  symmetric block design. Let  $D$  denote the adjacency matrix of  $S$ . Then

$$JD = DJ = kJ, DD^T = D^T D = kI + \lambda(J - I),$$

where  $I$  and  $J$  are the identity and all-one matrices of order  $v$ .

The partition

$\mathcal{C} = \{1_{\Omega_1}, \Omega_1^2 \setminus 1_{\Omega_1}, 1_{\Omega_2}, \Omega_2^2 \setminus 1_{\Omega_2}, S, \Omega_1 \times \Omega_2 \setminus S, S^*, \Omega_2 \times \Omega_1 \setminus S^*\}$   
is a co.co. of order  $2v$  and rank 8.

Its adjacency matrix has the following form

$$\begin{bmatrix} aI + b(J - I) & cD + d(J - D) \\ zD^T + w(J - D^T) & xI + y(J - I) \end{bmatrix}$$

# Irreducible representations of the adjacency algebra

The principal representation

$$\Delta_0 \left( \begin{bmatrix} aI + b(J - I) & cD + d(J - D) \\ zD^\top + w(J - D^\top) & xI + y(J - I) \end{bmatrix} \right) =$$
$$\begin{bmatrix} a + b(v - 1) & ck + d(v - k) \\ zk + w(v - k) & x + y(v - 1) \end{bmatrix}.$$

The corresponding irreducible subspace:  $V_0 = \langle \underline{\Omega_1}, \underline{\Omega_2} \rangle$ .  
Let  $\mathbf{u} \in \mathbb{C}^v$  be a non-zero column vector of size  $v$  with zero coordinate sum. Denote  $e_2 = \begin{pmatrix} 0 \\ \mathbf{u} \end{pmatrix}$ ,  $e_1 = \begin{pmatrix} D\mathbf{u} \\ 0 \end{pmatrix}$ .

## Proposition

The subspace  $\langle e_1, e_2 \rangle$  is an irreducible  $\mathbb{C}[\mathcal{C}]$  module.

# Irreducible representations of the adjacency algebra

**Proof.**

$$\begin{bmatrix} aI + b(J - I) & cD + d(J - D) \\ zD^\top + w(J - D^\top) & xI + y(J - I) \end{bmatrix} \begin{pmatrix} D\mathbf{u} \\ \mathbf{0} \end{pmatrix} = \begin{pmatrix} (a - b)D\mathbf{u} \\ (z - w)(k - \lambda)\mathbf{u} \end{pmatrix} \\ = (a - b)e_1 + (z - w)(k - \lambda)e_2$$

$$\begin{bmatrix} aI + b(J - I) & cD + d(J - D) \\ zD^\top + w(J - D^\top) & xI + y(J - I) \end{bmatrix} \begin{pmatrix} \mathbf{0} \\ \mathbf{u} \end{pmatrix} = \begin{pmatrix} (c - d)D\mathbf{u} \\ (x - y)\mathbf{u} \end{pmatrix} \\ = (c - d)e_1 + (x - y)e_2$$

□



# Irreducible representations of the adjacency algebra

## Proposition

The adjacency algebra has two irreps  $\Delta_0$  and  $\Delta_1$  where

$$\Delta_1 \left( \begin{bmatrix} aI + b(J - I) & cD + d(J - D) \\ zD^\top + w(J - D^\top) & xI + y(J - I) \end{bmatrix} \right) =$$
$$\begin{bmatrix} a - b & c - d \\ (z - w)(k - \lambda) & x - y \end{bmatrix}$$

The multiplicity of  $\Delta_1$  in the standard representation is  $v - 1$ .

# Restriction to the fibers

Let  $\Omega_1, \dots, \Omega_f$  be the fibers of a c.c.  $\mathcal{X} = (\Omega, \mathcal{C})$ ,  $\mathcal{A}$  the adjacency algebra of  $\mathcal{X}$ ;

$\mathcal{X}_i := (\Omega_i, \mathcal{C}^{ii})$  be the homogeneous component,  $\mathcal{A}_i$  the adjacency algebra of  $\mathcal{X}_i$ ;

The algebra  $\mathcal{A}_i$  is isomorphic to  $I_{\Omega_i} \mathcal{A} I_{\Omega_i}$ . In what follows we identify  $\mathcal{A}_i$  with  $I_{\Omega_i} \mathcal{A} I_{\Omega_i}$ .

Let  $\Delta_0, \dots, \Delta_\ell$  be irreps of  $\mathcal{A}$ ,  $V_a, E_a$  and  $\chi_a$  are the irreducible module, the primitive idempotent and the character corresponding to the irrep  $\Delta_a$

# Restriction to the fibers

## Theorem

Let  $\Delta_a, V_a, E_a, \chi_a$  be as above. Then

- 1  $V_{ia} := I_{\Omega_i} V_a \neq 0 \implies V_{ia}$  is an irreducible  $\mathcal{A}_i$ -module;  
 $V_{ia} \neq 0 \iff E_a I_{\Omega_i} \neq 0$ ;
- 2  $\{V_{ia} \mid V_{ia} \neq 0\}_{a=0,\dots,\ell}$  is a complete set of irreducible  $\mathcal{A}_i$  representations;  
 $\{I_{\Omega_i} E_a \mid I_{\Omega_i} E_a \neq 0\}$  is a complete set of central primitive idempotents of  $\mathcal{A}_i$ ;
- 3 If  $V_{ia} \neq 0$ , then the multiplicity of  $V_{ia}$  in the decomposition of  $\mathbb{C}\Omega_i$  is equal to the multiplicity of  $V_a$  in the decomposition of  $\mathbb{C}\Omega$ .

Let us denote by  $\Delta_{ia}$  the irrep of  $\mathcal{A}_i$  corresponding to  $V_{ia}$  and  $n_{ia} := \dim(V_{ia})$ .

# Restriction to the fibers

## Theorem

- 1  $\forall_{i \in [1, f]} n_{i0} = 1.$
- 2  $\forall_{i \in [1, f]} \sum_{a=0}^{\ell} m_a n_{ia} = |\Omega_i|.$
- 3  $\forall_{a \in [0, \ell]} \sum_{i=1}^f n_{ia} = n_a.$
- 4  $\forall_{i, j \in [1, f]} |\mathcal{C}^{ij}| = \sum_{a=0}^{\ell} n_{ia} n_{ja}.$  Equivalently,  $(|\mathcal{C}^{ij}|) = N^{\top} N$  where  $N = (n_{ia}).$
- 5 the matrix  $(|\mathcal{C}^{ij}|)_{i, j \in [1, f]}$  is positive semidefinite.
- 6  $|\mathcal{C}^{ij}|^2 \leq |\mathcal{C}^{ii}| |\mathcal{C}^{jj}|$  and  $|\mathcal{C}^{ij}|^2 = |\mathcal{C}^{ii}| |\mathcal{C}^{jj}| \implies |\Omega_i| = |\Omega_j|.$
- 7 If  $\mathcal{C}^{ii}$  and  $\mathcal{C}^{jj}$  are commutative, then  $|\mathcal{C}^{ij}| \leq |\mathcal{C}^{ii}|, |\mathcal{C}^{jj}|$

# Restriction to the fibers

## Theorem

- 1  $\forall_{i \in [1, f]} n_{i0} = 1.$
- 2  $\forall_{i \in [1, f]} \sum_{a=0}^{\ell} m_a n_{ia} = |\Omega_i|.$
- 3  $\forall_{a \in [0, \ell]} \sum_{i=1}^f n_{ia} = n_a.$
- 4  $\forall_{i, j \in [1, f]} |\mathcal{C}^{ij}| = \sum_{a=0}^{\ell} n_{ia} n_{ja}.$  Equivalently,  $(|\mathcal{C}^{ij}|) = N^{\top} N$  where  $N = (n_{ia}).$
- 5 the matrix  $(|\mathcal{C}^{ij}|)_{i, j \in [1, f]}$  is positive semidefinite.
- 6  $|\mathcal{C}^{ij}|^2 \leq |\mathcal{C}^{ii}| |\mathcal{C}^{jj}|$  and  $|\mathcal{C}^{ij}|^2 = |\mathcal{C}^{ii}| |\mathcal{C}^{jj}| \implies |\Omega_i| = |\Omega_j|.$
- 7 If  $\mathcal{C}^{ii}$  and  $\mathcal{C}^{jj}$  are commutative, then  $|\mathcal{C}^{ij}| \leq |\mathcal{C}^{ii}|, |\mathcal{C}^{jj}|$

## Theorem

Assume that all fibers of a co.co. have at least two elements. Then it has two irreducible representations iff it corresponds to a system of linked