

Towards the classification of  
(P and Q)-polynomial association schemes

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at USTC

Hamming scheme  $H(n, q)$

$$X = F^n = \underbrace{F \times \dots \times F}_n, \quad |F| = q$$

$$x = (x_1, \dots, x_n), \quad x_i \in F$$

$$y = (y_1, \dots, y_n), \quad y_i \in F$$

$$d(x, y) = \# \{ i \mid x_i \neq y_i, \quad 1 \leq i \leq n \}$$

metric on  $X$

$$A_i : X \times X \longrightarrow \{0, 1\}$$

$$(x, y) \longmapsto A_i(x, y) = \begin{cases} 1 & \text{if } d(x, y) = i \\ 0 & \text{else} \end{cases}$$

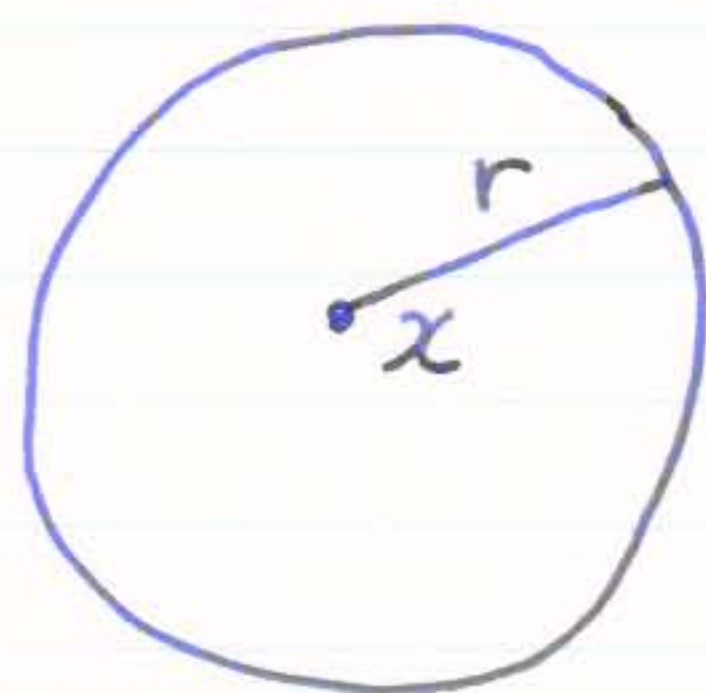
$\exists v_i(x)$  polynomial of degree  $i$   
(Krawchouk polynomial)

$$A_i = v_i(A_1) \quad \text{in } M_X(\mathbb{C})$$

the full matrix alg.



$$B_r(x) = \{y \in X \mid d(x, y) \leq r\}$$



$r$ -ball  
with centre  $x \in X$

$$|B_r(x)| = 1 + k + v_2(k) + \dots + v_r(k)$$

where  $k = (q-1)n = |B_1(x)| - 1$

$X \supset Y$  e-code

if  $B_e(x) \cap B_e(y) = \emptyset$  for  $x, y \in Y$   
 $x \neq y$

equivalently

$${}^t\phi A_i \phi = 0 \quad \text{for } 1 \leq i \leq 2e$$

where  $\phi : X \longrightarrow \{0, 1\}$   
 $x \longmapsto \phi(x) = \begin{cases} 1 & \text{if } x \in Y \\ 0 & \text{else} \end{cases}$   
 characteristic vector of  $Y$



sphere packing bound

$$|Y| \leq \frac{|X|}{1 + k + v_2(k) + \dots + v_e(k)}$$

for an e-code  $Y$ .

$Y$  : perfect e-code  
if the equality holds.

### Lloyd Theorem

Set  $\Phi_e(z) = 1 + z + v_2(z) + \dots + v_e(z)$ .

If  $Y$  is a perfect e-code,

i.e.  $|Y| = \Phi_n(k) / \Phi_e(k)$ ,  $k = (q-1)n$ .

then

$$\Phi_e(z) \mid \Phi_n(z) \quad \text{in } \mathbb{C}[z].$$

$\Phi_e(z)$  : Lloyd polynomial



DTG distance-transitive graph

D.G. Higman, N.L. Biggs  
in 60s

$\Gamma = (X, R)$  finite simple graph  
pts edges connected

$\partial(x, y)$  : distance between  $x, y \in X$   
the length of a shortest path  
joining  $x, y$

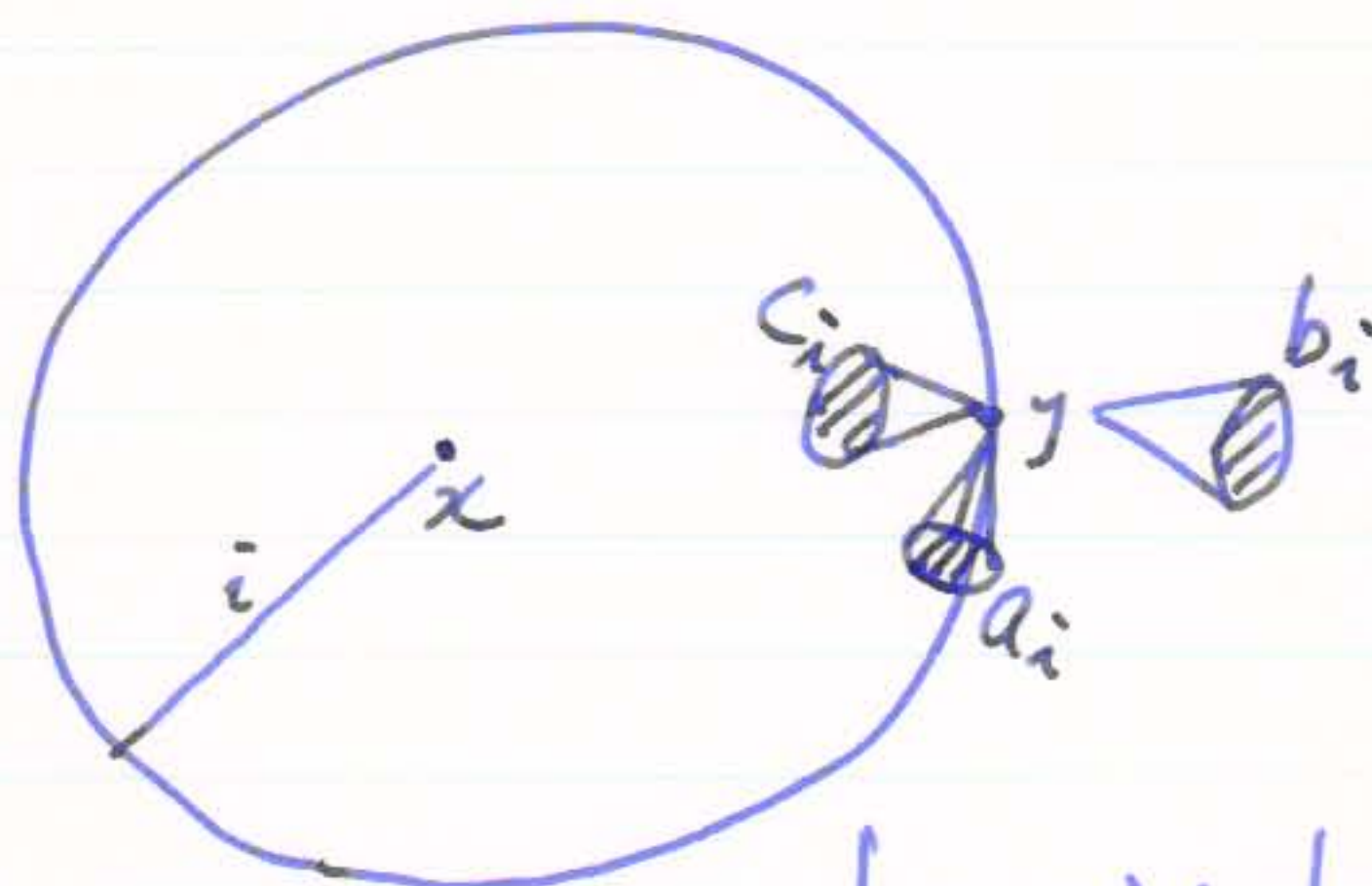
$\Gamma$  is distance-transitive (DT)

$\nexists \forall x, y, x', y' \in X$   
 $\partial(x, y) = \partial(x', y')$

$\exists \sigma \in \text{Aut}(\Gamma)$

$x^\sigma = x', y^\sigma = y'$

DTG  $\Rightarrow$  DRG distance-regular graph



$$R_i(x) = \{y \in X \mid d(x, y) = i\}$$

$$\forall x \in X$$

$$\forall y \in R_i(x)$$

$$c_i = |R_{i-1}(x) \cap R_1(y)|$$

$$a_i = |R_i(x) \cap R_1(y)|$$

$$b_i = |R_{i+1}(x) \cap R_1(y)|$$





$$\Gamma = (X, R) \quad \text{DRG}$$

$$d = \max \{ \varrho(x, y) \mid x, y \in X, x \neq y \}$$

diameter

$$A_i : X \times X \longrightarrow \{0, 1\}$$

$$(x, y) \longmapsto A_i(x, y) = \begin{cases} 1 & \text{if } \varrho(x, y) = i \\ 0 & \text{else} \end{cases}$$

$$A_1 A_i = b_{i-1} A_{i-1} + a_i A_i + c_{i+1} A_{i+1}$$

Define polynomials  $v_i(x)$  of degree  $i$ ,  $0 \leq i \leq d$   
by  $v_0(x) = 1$ ,  $v_1(x) = x$ .

$$x v_i(x) = b_{i-1} v_{i-1}(x) + a_i v_i(x) + c_{i+1} v_{i+1}(x).$$

Then

$$A_i = v_i(A_1), \quad 0 \leq i \leq d.$$

$$\{v_i(x)\}_{i=0}^d = \text{orthogonal polynomials}$$



N. Biggs : Lloyd type theorem  
of codes in a DRG

$$\Gamma = (X, R) \quad \text{DRG}$$

$$X \supset Y : \quad \underline{\text{e-code}}$$

$$\text{if } B_e(x) \cap B_e(y) = \emptyset \quad \text{for } x, y \in Y, \quad x \neq y$$

$$|B_e(x)| = 1 + k + v_2(k) + \dots + v_e(k),$$

$$k = |B_1(x)| = b_0, \quad \text{valency of } \Gamma$$

sphere packing bound

$$|Y| \leq \frac{|X|}{1 + k + v_2(k) + \dots + v_e(k)}$$

for an e-code  $Y$ .

Lloyd type theorem

$$\text{Set } \Phi_e(z) = 1 + z + v_2(z) + \dots + v_e(z).$$

If  $Y$  is a perfect e-code,

$$\text{i.e. } |Y| = \Phi_d(k) / \Phi_e(k),$$

$$\text{then } \Phi_e(z) \mid \Phi_d(z) \text{ in } \mathbb{C}[z].$$





Johnson scheme

$$J(v, k), \quad k \leq \frac{v}{2}$$

$$X = \binom{V}{k},$$

$$|V| = v$$

the set of  $k$ -subsets of  $V$ 

$$X \ni x, y$$

$$d(x, y) = k - |x \cap y|$$

metric on  $X$ 

DT distance-transitive



Incidence structure between  $J(v, k)$   
and  $J(v, t)$

$$X = \binom{V}{k},$$

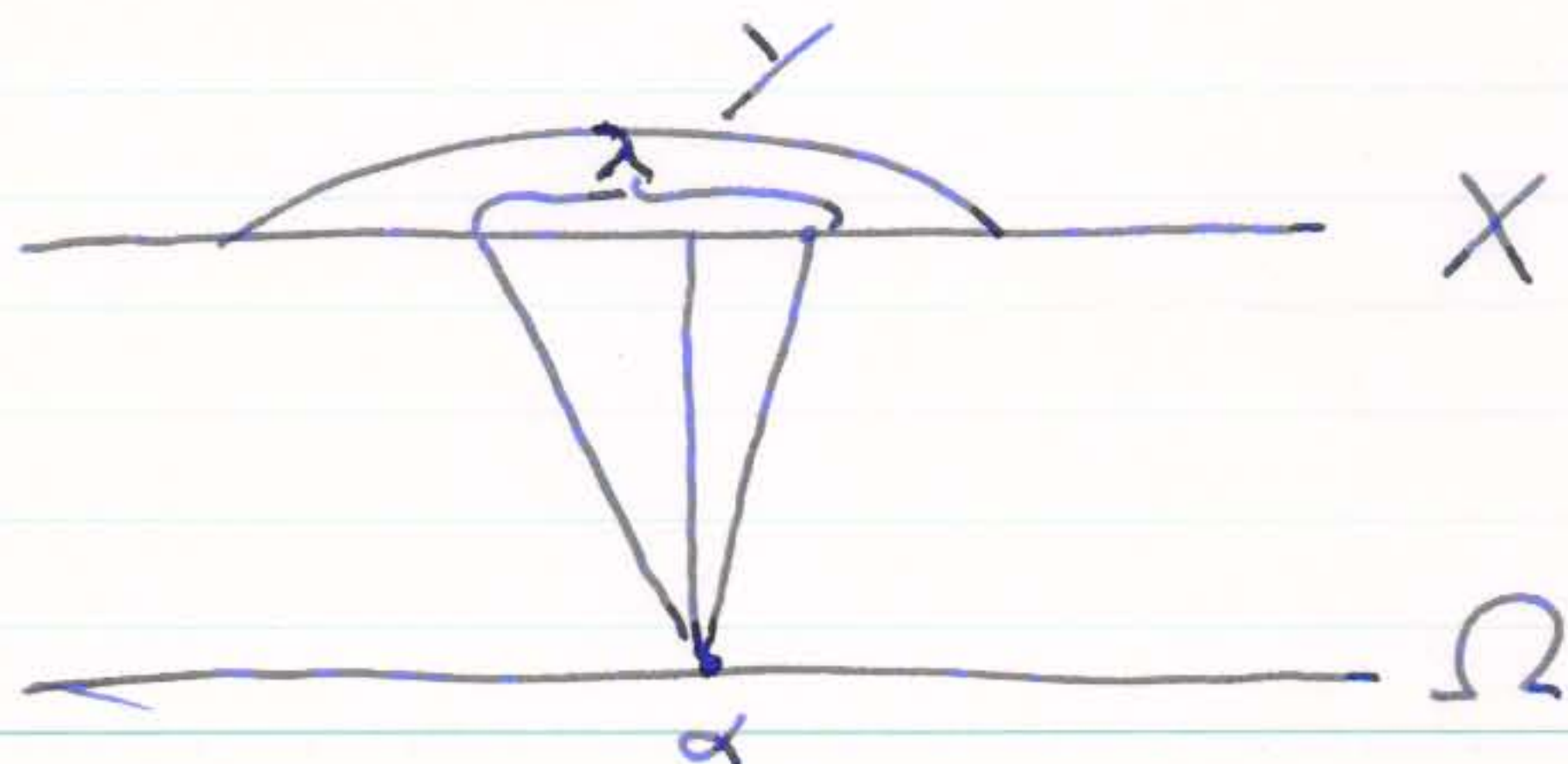
$$\Omega = \binom{V}{t}, \quad t \leq k$$

$X \ni x$  covers  $\alpha \in \Omega$

if  $x \supseteq \alpha$  as subsets of  $V$ .

$X \supseteq Y$  :  $t$ -( $v, k, \lambda$ ) design

if  $\# \{y \in Y \mid y \text{ covers } \alpha\} = \lambda_\alpha = \lambda$   
for every  $\alpha \in \Omega$ .





## Fisher's inequality

$$t = 2.$$

$\gamma : 2 - (v, k, \lambda)$  design

$$|\gamma| \geq v$$

## Ray-Chaudhuri - Wilson

around 1970

$\gamma : t - (v, k, \lambda)$  design

$$|\gamma| \geq \binom{v}{e}, \quad e = \left\lfloor \frac{t}{2} \right\rfloor$$

$\gamma$  is tight if the equality holds.

In this case,  $t = 2e$ .



$$\{v_i^*(z)\}_{i=0}^k, \quad \deg v_i^*(z) = i$$

Lahn polynomials

$$\Phi_e^*(z) = \sum_{i=0}^e v_i^*(z)$$

Wilson polynomial

Fisher type inequality

$$|Y| \geq \Phi_e^*(m), \quad m = v-1$$

$$(\Phi_e^*(m) = \binom{v}{e})$$

Lloyd type theorem

If the equality holds above,

then

$$\Phi_e^*(z) \mid \Phi_k^*(z) \quad \text{in } \mathbb{C}[z]$$



P. Delsarte, An algebraic approach to  
the association schemes of the coding theory,  
Thesis, Université Catholique de Louvain (1973),  
Philips Res. Repts Suppl. 10 (1973)

$\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$  symmetric  
association scheme

$$X \times X \supset R_i, \quad 0 \leq i \leq d$$

$$A_i : X \times X \longrightarrow \{0, 1\}$$

$$(x, y) \longmapsto A_i(x, y) = \begin{cases} 1 & \text{if } (x, y) \in R_i \\ 0 & \text{else} \end{cases}$$

$$\left\{ \begin{array}{l} A_0 = I \quad \text{identity} \\ A_0 + A_1 + \dots + A_d = J \quad \text{all one matrix} \\ {}^t A_i = A_i, \quad 0 \leq i \leq d \quad \text{symmetric} \\ A_i A_j = \sum_{k=0}^d p_{ij}^k A_k \end{array} \right.$$



$$\mathcal{A} = \langle A_0, A_1, \dots, A_d \rangle \subset M_X(\mathbb{C})$$

the full matrix algebra

Bose-Mesner algebra

$$\dim \mathcal{A} = d+1$$

Def  $\mathcal{X}$  is P-polynomial

$\nexists \exists v_i(x)$  polynomial of degree  $i$

$$A_i = v_i(A_1), \quad 0 \leq i \leq d$$

Fact

$\mathcal{X} : \text{P-polynomial}$

$\Leftrightarrow$

$$\Gamma = (X, R_i) : \text{DRG}$$

In this case,

$$R_i \ni (x, y) \Leftrightarrow d(x, y) = i \text{ in } \Gamma$$



duality for a symmetric association scheme

$$\mathcal{A} = \langle A_0, A_1, \dots, A_d \rangle \quad \text{BM-alg}$$

commutative  
semi-simple

$$= \langle E_0, E_1, \dots, E_d \rangle, \quad E_0 = \frac{1}{|X|} J$$

primitive idempotents

$$E_0 + E_1 + \dots + E_d = I$$

$$E_i E_j = \delta_{ij} E_i$$

$\mathcal{A}$  is closed under the Hadamard product  $\circ$   
(entry-wise product)

$$A_0 + A_1 + \dots + A_d = J \quad \text{all one matrix}$$

the identity w.r.t.  $\circ$

$$A_i \circ A_j = \delta_{ij} A_i$$

$$E_i \circ E_j = \frac{1}{|X|} \sum_{k=0}^d q_{ij}^k E_k$$

(  $q_{ij}^k$  : the Krein parameter )



Def  $\mathcal{X}$  is  $Q$ -polynomial

if  $\exists v_i^*(x)$  polynomial of degree  $i$

$$nE_i = v_i^*(nE_1), \quad 0 \leq i \leq d \quad (n = |X|)$$

w.r.t. the Hadamard product  $\circ$

Fact  $\mathcal{X} : Q$ -polynomial

$\Leftrightarrow$

$$(nE_1) \circ (nE_i) = b_{i-1}^*(nE_{i-1}) + a_i^*(nE_i) + c_{i+1}^*(nE_{i+1})$$

$, 0 \leq i \leq d$

$\{v_i^*(x)\}_{i=0}^d$  : orthogonal polynomials

$$x v_i^*(x) = b_{i-1}^* v_{i-1}^*(x) + a_i^* v_i^*(x) + c_{i+1}^* v_{i+1}^*(x)$$

$$(v_0^*(x) = 1, v_1^*(x) = x)$$



### Observation

$$\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d}) \quad \text{P-poly.}$$

$$X \supset Y : \text{e-code}$$

$$\Leftrightarrow$$

$${}^t\phi A_i \phi = 0, \quad 1 \leq i \leq 2e$$

where  $\phi$  is the characteristic vector of  $Y$

### Definition

$$\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d}) \quad \text{Q-poly.}$$

$$X \supset Y : t\text{-design}$$

$$\Leftrightarrow$$

$${}^t\phi E_i \phi = 0, \quad 1 \leq i \leq t$$

where  $\phi$  is the characteristic vector of  $Y$ .



Fisher type inequality

$X \supset Y$  :  $t$ -design

Then

$$|Y| \geq v_0^*(m) + v_1^*(m) + \dots + v_e^*(m),$$

$$(e = \lfloor \frac{t}{2} \rfloor, \quad m = \text{rank } E_1)$$

$Y$  is a tight  $t$ -design

if the equality holds.

Set  $\Phi_e^*(z) = v_0^*(z) + v_1^*(z) + \dots + v_e^*(z)$

Wilson polynomial

Theorem

$Y$  : tight  $t$ -design

Then  $t = 2e$  and

$$\Phi_e^*(z) \mid \Phi_d^*(z) \quad \text{in } \mathbb{C}[z].$$





E. Bannai's lectures at OSU  
in late 70s

$P$ -poly. schemes = combinatorial analogue  
of compact  
2-point homogeneous  
spaces

$Q$ -poly. schemes = combinatorial analogue  
of compact  
symmetric rank 1  
spaces

Hsien Chung Wang 1952

$$\left\{ \begin{array}{l} \text{compact} \\ \text{2-point homogeneous} \\ \text{spaces} \end{array} \right\} = \left\{ \begin{array}{l} \text{compact} \\ \text{symmetric spaces} \\ \text{of rank 1} \end{array} \right\}$$

Élie Cartan classified

compact symmetric spaces of rank 1



## Bannai's Conjecture

$$(1) \left\{ \begin{array}{l} \text{primitive} \\ \text{P-poly. schemes} \\ \text{with sufficiently large} \\ \text{diameter } d \end{array} \right\} = \left\{ \begin{array}{l} \text{primitive} \\ \text{Q-poly. schemes} \\ \text{with sufficiently} \\ \text{large diameter } d \end{array} \right\}$$

i.e.  $P \Leftrightarrow Q$  if primitive and  $d \gg 1$ .

Def  $\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$  is primitive

if the graph  $(X, R_i)$  is connected for all  $i$  ( $1 \leq i \leq d$ ).

(2) If  $\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$  is a (P and Q)-polynomial scheme with sufficiently large diameter  $d$ , then  $\mathcal{X}$  is one of the following or their 'relative'.



(I)

(0)  $n$ -gon

(i)  $J(v, k)$ ,  $k \leq \frac{v}{2}$  Johnson scheme

(ii)  $J_q(v, k)$ ,  $k \leq \frac{v}{2}$   $q$ -Johnson scheme

(iii) dual polar scheme

$V$ : vector space over  $\mathbb{F}_q$   
 with a non-degenerate form

$X$  = the set of maximal totally isotropic subspaces

$$(x, y) \in R_i \iff \dim(x \cap y) = d - i$$

$B_d(q)$	$\dim V = 2d+1$	quadratic
$C_d(q)$	$2d$	symplectic
$D_d(q)$	$2d$	quadratic (Witt index $d$ )
${}^2D_{d+1}(q)$	$2d+2$	quadratic (Witt index $d$ )
${}^2A_{2d}(r)$ ( $q=r^2$ )	$2d+1$	Hermitian
${}^2A_{2d-1}(r)$ ( $q=r^2$ )	$2d$	Hermitian



(II)

(i)  $H(d, q)$ , Hamming scheme

(ii)  $Bil_{d \times n}(q)$ ,  $d \leq n$ , bilinear forms scheme

$X$  = the set of  $d \times n$  matrices over  $\mathbb{F}_q$

$$(x, y) \in R_i \iff \text{rank}(x - y) = i, \quad 0 \leq i \leq d$$

(iii) affine schemes

(iii -1)  $Alt_n(q)$ , alternating bilinear forms scheme

$V$ :  $n$ -dim vector space over  $\mathbb{F}_q$

$X$  = the set of alternating bilinear forms on  $V$

$$(x, y) \in R_i \iff \text{rank}(x - y) = 2i, \quad 0 \leq i \leq d = \left\lfloor \frac{n}{2} \right\rfloor$$

(iii -2)  $Her(r)$ , Hermitian forms scheme

$V$ :  $d$ -dim vector space over  $\mathbb{F}_q$  ( $q = r^2$ )

$X$  = the set of Hermitian forms on  $V$

$$(x, y) \in R_i \iff \text{rank}(x - y) = i$$

(iii -3)  $Quad_n(q)$ , quadratic forms scheme

$V$ :  $n$ -dim vector space over  $\mathbb{F}_q$

$X$  = the set of quadratic forms on  $V$

$$(x, y) \in R_i \iff \text{rank}(x - y) = 2i - 1, 2i$$

$$0 \leq i \leq d = \left\lceil \frac{n+1}{2} \right\rceil$$



'relatives'

- (a) bipartite half or antipodal quotient  
of an imprimitive  $(P \& Q)$ -poly. scheme
- (b) 2nd  $P$ -polynomial structure  
or  
2nd  $Q$ -polynomial structure
- (c) extended bipartite double  
or fusion scheme of a  $(P \& Q)$ -poly. scheme
- (d) cospectral but not isomorphic scheme

### Remark

- (1) (iii-3)  $\text{Quad}_n(q)$  was a conjecture  
at the time of Bannai's lectures  
and it was proved by Y. Egawa later.
- (2)  $\text{Alt}_n(q)$  and  $\text{Quad}_{n-1}(q)$  are cospectral  
but not isomorphic.
- (3)  $H(d, 4)$  and Doob scheme are cospectral  
but not isomorphic



newly found (P & Q)-poly schemes  
after Bannai's conjecture

$Hem_d(q)$  : Hemmeter scheme

$Ust_{[\frac{d}{2}]}(q)$  : Ustimenko scheme

${}^2J_q(2d+1, d)$  : twisted  $q$ -Johnson scheme

twisted Grassman scheme

van Dam - Koolen scheme

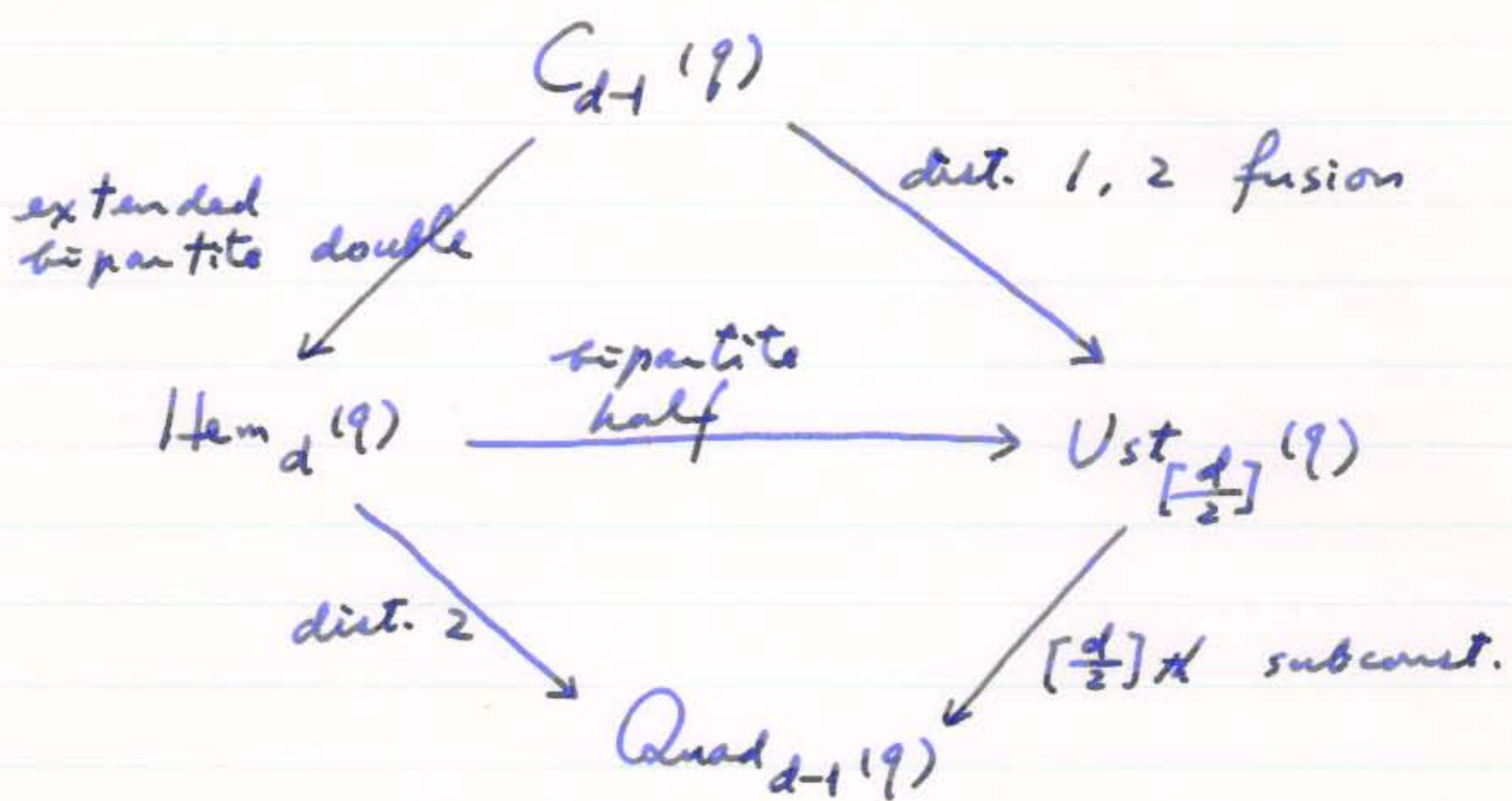
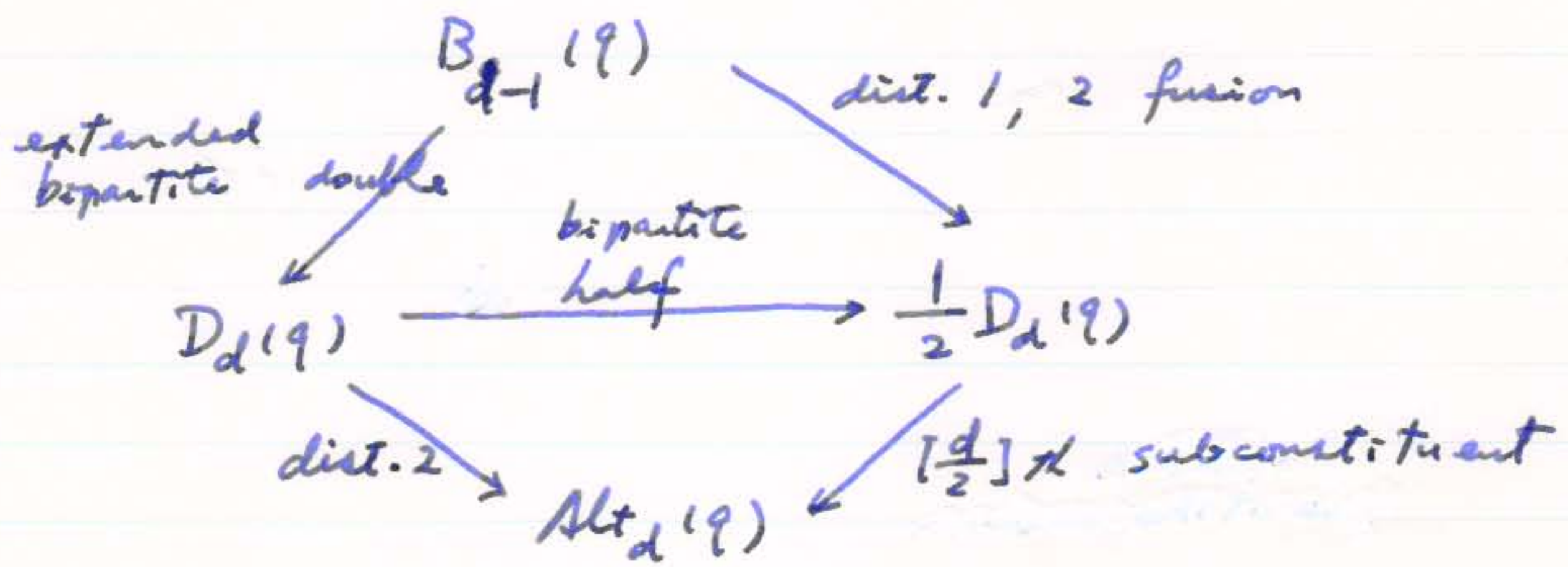
cospectral with  $J_q(2d+1, d)$   
but not isomorphic

$Hem_d(q), D_d(q)$  cospectral

$Ust_{[\frac{d}{2}]}(q), \frac{1}{2}D_d(q)$  cospectral



✓





$$\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$$

(P & Q)-poly. scheme

$$A_i = \nu_i(A_1)$$

$$n E_i = \nu_i^*(n E_1) \quad \text{w.r.t. Hadamard product } \circ$$

( $n = |X|$ )

Let

$$A_1 = \theta_0 E_0 + \theta_1 E_1 + \dots + \theta_d E_d$$

$$n E_1 = \theta_0^* A_0 + \theta_1^* A_1 + \dots + \theta_d^* A_d$$

and set

$$k_i = \nu_i(\theta_0), \quad 0 \leq i \leq d$$

$$m_i = \nu_i^*(\theta_0), \quad 0 \leq i \leq d$$



orthogonal polynomials  $\{v_i(x)\}_{i=0}^d$

$$\sum_{v=0}^d v_i(\theta_v) v_j(\theta_v) m_v = \delta_{ij} n k_i$$

orthogonal polynomials  $\{v_i^*(x)\}_{i=0}^d$

$$\sum_{v=0}^d v_i^*(\theta_v^*) v_j^*(\theta_v^*) k_v = \delta_{ij} n m_i$$

dual

$$\frac{v_i(\theta_j)}{k_i} = \frac{v_j^*(\theta_i^*)}{m_j}$$

### Leonard Theorem

If the orthogonal polynomials  $\{v_i(x)\}_{i=0}^d$   
and  $\{v_i^*(x)\}_{i=0}^d$  are dual  
in the above sense, then

they are Askey-Wilson polynomials  
( $q$ -Racah polynomials) or  
their limits.



For details, see

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E. Bannai - T. Ito, Algebraic Combinatorics I:

Association Schemes, Benjamin/Cummings,  
Menlo Park, California (1984)

Theorem For a  $(P \text{ and } Q)$ -polynomial scheme  
with sufficiently large diameter  $d$ ,

$$\theta_0, \theta_1, \dots, \theta_d \in \mathbb{Z}.$$

(G. Dickie) enough to assume  $d \geq 5$ .



## Terwilliger algebra

P. Terwilliger, The subconstituent algebra of an association scheme I, II, III,

J. Alg. Comb. 1 (1992), 2 (1993),

$\mathcal{X} = (X, \{R_i\}_{0 \leq i \leq d})$  (P and Q)-poly. scheme

$$\mathcal{A} = \langle A_0, A_1, \dots, A_d \rangle$$

$$= \langle E_0, E_1, \dots, E_d \rangle \quad \text{primitive idempotents}$$

Bose-Mesner algebra

$$V = \mathbb{C}^X = \{f: X \rightarrow \mathbb{C}\}$$

standard module

for the full matrix alg.  $M_X(\mathbb{C})$

$$V = V_0 + V_1 + \dots + V_d \quad \text{direct sum}$$

$$V_i = E_i V$$

$A = A_i$  has eigenvalue  $\theta_i$  on  $V_i$

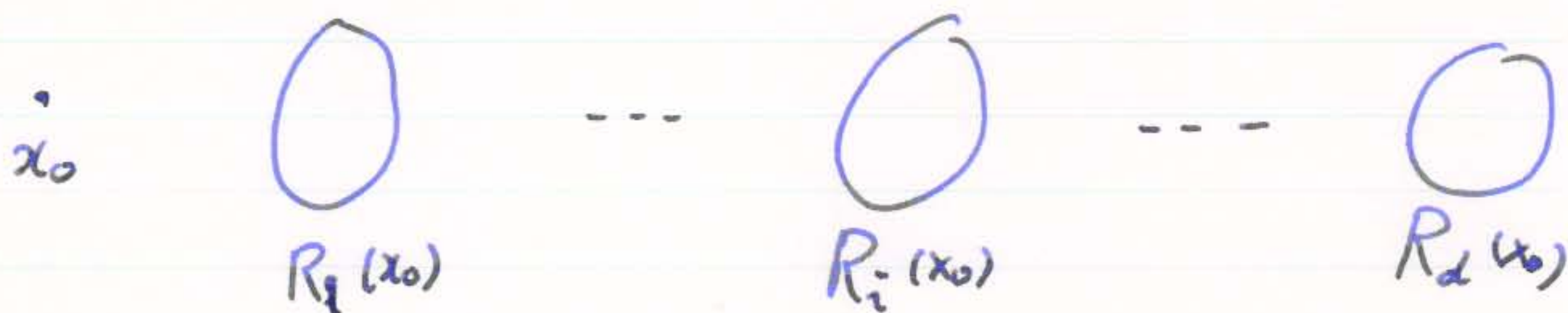
$$A = \theta_0 E_0 + \theta_1 E_1 + \dots + \theta_d E_d$$



Fix  $x_0 \in X$  (base point)

and set

$$V_i^* = V_i^*(x_0) = \left\{ f : X \rightarrow \mathbb{C} \mid \begin{array}{l} f(x) = 0 \\ f(x_0, x) \notin R_i \end{array} \right\}$$



$V_i^* \ni f \Leftrightarrow f$  vanishes outside  $R_i(x_0)$

$$V = \bigoplus_{i=0}^d V_i^*$$

$E_i^* = E_i^*(x_0) : V \longrightarrow V_i^*$  projection.



$$T = T(x_0) = \langle E_i, E_j^* \mid 0 \leq i, j \leq d \rangle$$

the subalgebra of  $M_X(\mathbb{C})$

generated by  $E_i, E_j^*, 0 \leq i, j \leq d$

called the subconstituent alg.

Terwilliger alg.

$$A_i = \theta_0 E_0 + \theta_1 E_1 + \dots + \theta_d E_d, \quad E_i E_j = \delta_{ij} E_i$$

$$n E_i = \theta_0^* A_0 + \theta_1^* A_1 + \dots + \theta_d^* A_d, \quad A_i \circ A_j = \delta_{ij} A_i$$

Set

$$A_i^* = \theta_0^* E_0^* + \theta_1^* E_1^* + \dots + \theta_d^* E_d^*, \quad E_i^* E_j^* = \delta_{ij} E_i^*$$

Then

$$A_i = v_i(A_1) \quad \text{in } M_X(\mathbb{C})$$

$$n E_i = v_i^*(n E_1) \quad \text{w.r.t. the Hadamard product}$$

$$A_i^* = v_i^*(A_1^*) \quad \text{in } M_X(\mathbb{C})$$

$$A_i^* A_j^* = \sum_{k=0}^d g_{ij}^k A_k^*$$



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$$T = T(x_0) = \langle A, A^* \rangle \subset M_X(\mathbb{C})$$

generated by  $A=A_1, A^*=A_1^*$ .

non commutative  
semi-simple algebra

$$V = \mathbb{C}^X \supset W \quad \text{irreducible } T\text{-module}$$

We get a TD-pair (tridiagonal pair) <sup>system</sup> <sup>system</sup>

$$A|_W, A^*|_W \in \text{End}(W) : \text{diagonalizable}$$

$$W = \bigoplus_{i=0}^r W_i, \quad \text{e.s. decomp. of } A$$

$$W = \bigoplus_{i=0}^r W_i^*, \quad \text{e.s. decomp. of } A^*$$

$$(i) \quad A W_i^* \subseteq W_{i-1}^* + W_i^* + W_{i+1}^*, \quad 0 \leq i \leq r$$

$$W_{-1}^* = 0, \quad W_{r+1}^* = 0$$

$$(ii) \quad A^* W_i \subseteq W_{i-1} + W_i + W_{i+1}, \quad 0 \leq i \leq r$$

$$W_{-1} = 0, \quad W_{r+1} = 0$$

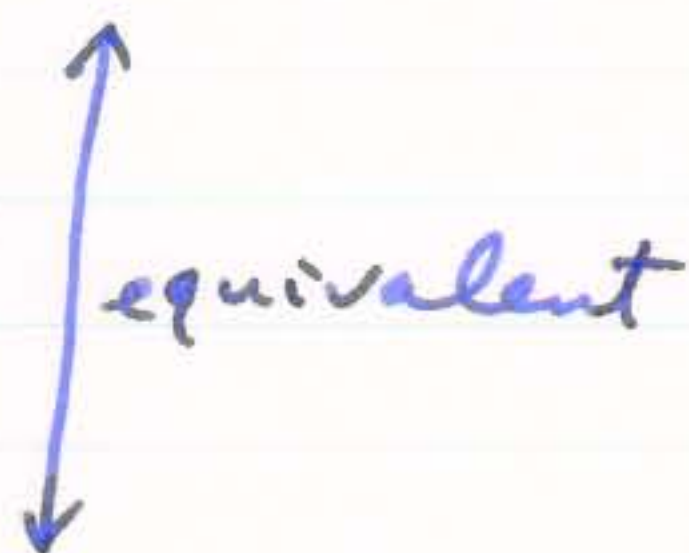
$$(iii) \quad W \text{ is irreducible as an } \langle A, A^* \rangle\text{-module.}$$



TD-pair is an L-pair (Leonard pair)

$$\text{if } \dim W_i = \dim W_i^* = 1, \quad 0 \leq i \leq r.$$

Leonard Theorem : Classification of  
orthogonal polynomials  
that are dual  
each other



Terwilliger Theorem : Classification of  
L-pairs (systems)

Ito - Terwilliger : Classification of  
TD-pairs (systems)

TD-pair = 'tensor product'  
of L-pairs



### character formula

$$\text{ch}(\lambda) \stackrel{\text{def}}{=} \sum_{i=0}^r (\dim W_i) \lambda^i$$

Then

$$\text{ch}(\lambda) = \prod_{i=1}^n (1 + \lambda + \lambda^2 + \dots + \lambda^{l_i})$$

for some  $n \in \mathbb{N}$ ,  $l_1, l_2, \dots, l_n \in \mathbb{N}$ .

case  $n=1$ ,  $l_1 = r$  :  $L$ -pair

$$\dim W_i = 1, \quad 0 \leq i \leq r$$

case  $n=2$ ,  $l_1 = l$ ,  $l_2 = 2$

$$\begin{aligned} \text{ch}(\lambda) &= (1 + \lambda + \dots + \lambda^l)(1 + \lambda) \\ &= 1 + 2\lambda + \dots + 2\lambda^l + \lambda^{l+1} \end{aligned}$$

$$\dim W_i = 2, \quad 1 \leq i \leq l$$

$$\dim W_0 = 1, \quad \dim W_{l+1} = 1 \quad (r = l+1)$$



TD-relation (tridiagonal relations)

$$A^3 A^* - (\beta + 1)(A^2 A^* A - A A^* A^2) - A^* A^3 \\ = \gamma(A^2 A^* - A^* A^2) + \delta(A A^* - A^* A)$$

for some  $\beta, \gamma, \delta \in \mathbb{C}$

$$A^{*3} A - (\beta + 1)(A^{*2} A A^* - A^* A A^{*2}) - A A^{*3} \\ = \gamma^*(A^{*2} A - A A^{*2}) + \delta^*(A^* A - A A^*)$$

for some  $\beta, \gamma^*, \delta^* \in \mathbb{C}$

$$(\beta^* = \beta)$$



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$$\beta = q^2 + q^{-2}$$

$$\text{type I : } \beta \neq \pm 2 \quad (q^2 \neq \pm 1)$$

$$\text{type II : } \beta = 2 \quad (q^2 = 1)$$

$$\text{type III : } \beta = -2 \quad (q^2 = -1)$$

generic case : type I  $q \neq \text{root of unity}$

The irreducible  $T$ -module  $W$   
is obtained by a finite-dimensional  
irreducible representation of  
the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$

$$A|_W, A^*|_W \xrightarrow{\text{embedded}} U_q(L(\mathfrak{sl}_2))|_W$$

//

$$U_q(\widehat{\mathfrak{sl}}_2) / (k_0 k_1 - 1)$$



## Classification of (P and Q)-poly. schemes

(A) Determine  $\{v_i(x)\}_{i=0}^d$ ,  $\{v_i^*(x)\}_{i=0}^d$ :

not all of the Askey-Wilson polynomials  
(or their limits) appear

In other words, determine  
the parameters that can appear  
for (P and Q)-poly. schemes

( and show they are in )  
Bannai's list

(B) Characterize (P and Q)-poly. schemes  
by the parameters.



Done

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(B)  $H(d, q)$ , Doob scheme : Egawa

$J(v, d)$  : Terwilliger, Neumaier

${}^2A_{2d-1}(r)$  : Ivanov - Shpectorov

$Herd_d(r)$  : Ivanov - Shpectorov (Terwilliger)

$J_q(v, d)$ ,  $3 \leq d \leq \frac{v}{2}$ , Metsch

except for  $q \geq 4$ ,  $v = 2d, 2d+1$   
 $q = 3$ ,  $v = 2d, 2d+1, 2d+2$   
 $q = 2$ ,  $v = 2d, 2d+1, 2d+2, 2d+3$

$Bil_{d \times n}(q)$ ,  $d \leq n$ , Metsch

except for  $q \geq 3$ ,  $n = d, d+1, d+2$   
 $q = 2$ ,  $n = d, d+1, d+2, d+3$

(A) type II, type III : Terwilliger

Open

(A) type (I)

(B) dual polar scheme,  
affine scheme

$J_q(v, d)$

$Bil_{d \times n}(q)$

that are not covered above.



(A):  $V = \mathbb{C}^X \supset W$  irreducible  $T$ -module

$$e = \min \{ i \mid E_i^* W \neq 0 \}$$

end point

$$e = 0.$$

$W$ : principal  $T$ -module  
uniquely determined

$$e = 1$$

$A_W, A_W^*$ :

either  $L$ -pair

or a tensor product of  
2  $L$ -pairs, one of  
which has diameter 1

$$e = 2$$

$A_W, A_W^*$ :

either  $L$ -pair

or ----- (strong restriction  
on the tensor product of  
 $L$ -pairs)



local  
structure on

1st subconstituent

$R_1(x_0)$

2nd "

$R_2(x_0)$



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(B) parameters

+

local structure on  $R_1(x_0) + R_2(x_0)$

$\rightsquigarrow$  global structure