

Classification of Complex Hyperbolic Isometries

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Knots, braids and automorphism groups

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- An isometry f of $\mathbf{H}_{\mathbb{R}}^2$ is called **elliptic** if it has a fixed point on $\mathbf{H}_{\mathbb{R}}^2$. It is called **parabolic**, resp. **hyperbolic** if it is non-elliptic and has **one**, resp. **two** fixed points on the boundary $\partial\mathbf{H}_{\mathbb{R}}^2 = \hat{\mathbb{R}} \approx \mathbb{S}^1$.

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- (i) A acts as an elliptic isometry if and only if $\mathrm{tr}^2(A) < 4$.
- (ii) A acts as a parabolic isometry if and only if $\mathrm{tr}^2(A) = 4$.
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- Our motivation is to generalize this result for isometries of the complex hyperbolic space $\mathbf{H}_{\mathbb{C}}^n$ where n arbitrary.

The Hermitian Space

- Equip $\mathbb{V} = \mathbb{C}^{n+1}$ with the Hermitian form
$$\langle z, w \rangle = -\overline{w}_0 z_0 + \overline{w}_1 z_1 + \cdots + \overline{w}_n z_n.$$

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- Let $\mathbb{V}_- = \{z \in \mathbb{V} \mid \langle z, z \rangle < 0\}$, $\mathbb{V}_0 = \{z \in \mathbb{V} \mid \langle z, z \rangle = 0\}$, $\mathbb{V}_+ = \{z \in \mathbb{V} \mid \langle z, z \rangle > 0\}$.

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- A vector $v \in \mathbb{V}$ is called *time-like*, *space-like* or *light-like* according as v is an element in \mathbb{V}_- , \mathbb{V}_+ or \mathbb{V}_0 .

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The metric d is complete.

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- This identifies $\mathbf{H}_{\mathbb{C}}^n$ with the ball

$$\mathbf{B}_{\mathbb{C}}^n = \{\zeta = (\zeta_1, \dots, \zeta_n) \mid \sum_{k=1}^n |\zeta_k|^2 < 1\}.$$

- From the ball model it is clear that the boundary of $\mathbf{H}_{\mathbb{C}}^n$ is the sphere

$$\mathbb{S}_{\mathbb{C}}^n = \{\zeta = (\zeta_1, \dots, \zeta_n) \mid \sum_{k=1}^n |\zeta_k|^2 = 1\}.$$

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- The actual isometry group is $PU(n, 1) = U(n, 1)/Z(U(n, 1))$.
- Here $Z(U(n, 1)) = \{\lambda I : |\lambda| = 1\}$.
- For convenience, we often take $SU(n, 1)$ as the group acting by the isometries.

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- Let g be an isometry of $\mathbf{H}_{\mathbb{C}}^n$. By Brouwer's fixed point theorem every element has a fixed point on $\overline{\mathbf{H}_{\mathbb{C}}^n} = \mathbf{H}_{\mathbb{C}}^n \cup \partial\mathbf{H}_{\mathbb{C}}^n$. If there is no fixed point on $\mathbf{H}_{\mathbb{C}}^n$, there can be at most two fixed points on the boundary.

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Definition

An eigenvalue λ (counted without multiplicity) of a unitary automorphism is said to be of *negative type*, of *positive type* or *null* if the corresponding eigenvector is in \mathbb{V}_- , \mathbb{V}_+ or \mathbb{V}_0 respectively.

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- For g loxodromic, it has exactly two null eigenvalues $re^{i\theta}$, $r^{-1}e^{i\theta}$, $r > 1$, $0 \leq \theta \leq 2\pi$. All other eigenvalues are positive and of norm 1.

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- g has the unique Jordan decomposition $g = g_s g_u$, where g_s is elliptic, g_u unipotent and g_s, g_u commute. In particular, all the eigenvalues are of norm 1 and it has a repeated eigenvalue.

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- g_u has minimal polynomial $(x - 1)^2$ or $(x - 1)^3$.

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Theorem

(Goldman) Let $f(t) = |t|^4 - 8\Re(t^3) + 18|t|^2 - 27$. Let $A \in SU(2, 1)$ then:

- (i) A is loxodromic if and only if $f(\text{tr}(A)) > 0$.
- (ii) A has a repeated eigenvalue if and only if $f(\text{tr}(A)) = 0$.
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- Here $f(t) = -R(\chi_A, \chi'_A)$, where R denotes the resultant.

Recall that for

$$p(X) = a_r X^r + a_{r-1} X^{r-1} + \cdots + a_1 X + a_0,$$

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In the above case $q(X) = p'(X)$.

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- A is called **k-loxodromic** if it has k pairs of eigenvalues $r_j e^{i\theta_j}$ and $r_j^{-1} e^{i\theta_j}$ with $r_j > 1$ for $j = 1, \dots, k$, and all other eigenvalues are unit complex numbers.

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- We adopt the convention of taking $k \geq 0$ with the understanding that a 0-loxodromic is an elliptic matrix. Note that in $SU(p, q)$ we have $k \leq \min\{p, q\}$.
- Also, A is said to be **regular** if the eigenvalues are mutually distinct, that is A has no repeated eigenvalues.

Theorem

Let $A \in \mathrm{SU}(p, q)$. Let $R(\chi_A, \chi'_A)$ denotes the resultant of the characteristic polynomial $\chi_A(X)$ and its first derivative $\chi'_A(X)$. Then for $m \geq 0$, we have the following.

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Key points of the proof

To prove the theorem, we use the fact that if $\alpha_1, \dots, \alpha_r$ are the roots (without multiplicities) of a degree r polynomial $p(x)$, then for a_r the leading coefficient.

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Now, Write $p + q = n$. Suppose A is regular elliptic.
Then A has distinct eigenvalues

$$\lambda_1 = e^{i\theta_1}, \lambda_2 = e^{i\theta_2}, \dots, \lambda_n = e^{i\theta_n}.$$

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Since θ_j and θ_k are mutually distinct and $n(n-1)$ is always an even number, we must have $R(\chi_A, \chi'_A) > 0$.

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Consider the case when $p + q = 4$.

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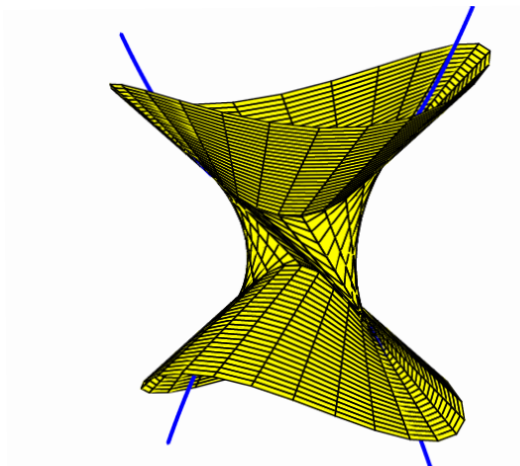
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- (i) A is **2-loxodromic** if and only if $R(\chi_A, \chi'_A) > 0$ and $\min\{\Re(\tau)^2 - 4\sigma + 8, \Im(\tau)^2 + 4\sigma + 8, 6 - \sigma, 6 + \sigma\} < 0$.

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- (iv) A has a **repeated eigenvalue** if and only if $R(\chi_A, \chi'_A) = 0$.

Thank You!