

Characterization of the finite simple groups by spectrum and order^{*)}

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The *spectrum* of a group G is the set $\omega(G)$ of its element orders. The spectrum of a finite group G together with its order retains a substantial part of the information on the structure of G but, as demonstrated by the example of the dihedral group D_8 of order 8 and the quaternion group Q_8 , does not necessarily determine G uniquely. In [1] W. Shi conjectured that the desired uniqueness will be achieved for finite simple groups. A. S. Kondrat'ev posed this conjecture in [2, Question 12.39] in the following form:

Is it true that a finite group and a finite simple group are isomorphic if they have equal orders and the same set of orders of elements ?

Later, for brevity, it was suggested to refer to a finite group G that is isomorphic to every finite group H with $\omega(H) = \omega(G)$ and $|H| = |G|$ as *recognizable by spectrum and order*. In this terminology Shi's question sounds as follows:

Is it true that all finite simple groups are recognizable by spectrum and order ?

The answer to this question is obviously affirmative for abelian simple groups. In a series of papers[1, 3–8], the affirmative answer was obtained for all nonabelian simple groups except the symplectic groups, orthogonal groups of odd dimension and orthogonal groups of type D_n with n even. In the present article we prove the recognizability by spectrum and order for this remaining groups. Thus Shi's conjecture is confirmed and the following theorem holds.

THEOREM. *If G is a finite simple group, H is a finite group with $\omega(H) = \omega(G)$ and $|H| = |G|$, then $H \simeq G$.*

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Observe that we consider the problem of recognizing a finite simple group by spectrum and order from the point of view of a more general and, in our opinion, more interesting problem of recognizing by spectrum alone. As a result of such an approach, we managed to prove a number of assertions related to the recognition of symplectic and orthogonal groups by spectrum. These assertions, which play a considerable part in proving the main result of the present article, became the subject of a separate paper [9].

§ 1. Preliminaries

Our notation for sporadic and simple groups of Lie type follows [10]. In this connection if a group of Lie type L is denoted by ${}^tX_n(q)$ [10, p. xiv, xv], we say that L is a *group of rank n over a field of order q* . In particular, the rank of a twisted group is supposed to be equal to that of the associated untwisted group. Sometimes, for brevity, we use notation f , where f and f . The alternating group of degree n is denoted by Alt_n .

For a natural number n , $\pi(n)$ denotes the set of prime divisors of n . For a prime r , n_r denotes the r -part of n , i. e., the largest power of r that divides n , while $n_{r'}$ denotes the r' -part of n , i. e., the ratio n/n_r . The greatest common divisor and the least common multiple of natural numbers m_1, m_2, \dots, m_k are denoted by (m_1, m_2, \dots, m_k) and $[m_1, m_2, \dots, m_k]$ respectively. If $m > 2$ and n are coprime natural numbers, then we write $e(m, n)$ to denote the multiplicative order of n modulo m . Given an odd n , we put $e(2, n) = 1$ if $n \equiv 1 \pmod{4}$ and put $e(2, n) = 2$ if $n \equiv 3 \pmod{4}$.

Let $n > 1$. A prime r is said to be a *primitive prime divisor* of the difference $n^i - 1$ if $e(r, n) = i$.

LEMMA 1.1 (Zsigmondy [11]). *Let $n > 1$ be a natural number. Then for every natural number i , there is a prime r with $e(r, n) = i$, except when $n = 2$ and $i = 1$, $n = 3$ and $i = 1$, $n = 2$ and $i = 6$.*

In what follows the notation $r_i(n)$ means a primitive prime divisor of $n^i - 1$ if such exist. The product of all primitive divisors of $n^i - 1$ taken with multiplicities is said to be the *greatest primitive divisor* and denoted by $k_i(n)$. Note that for a divisor, the property of being primitive depends on the pair (n, i) and is not determined by the number $n^i - 1$. For

example, $k_6(2) = 1$, $k_3(4) = 7$, $k_2(8) = 9$, and $k_1(64) = 63$.

It is not hard to check that $k_1(n) = (n - 1)/2$ if $n \equiv 3 \pmod{4}$, and $k_1(n) = n - 1$ in all other cases; and also that $k_2(n) = (n + 1)/2$ if $n \equiv 1 \pmod{4}$, and $k_2(n) = n + 1$ otherwise. It follows from [12] that for $i > 2$

$$k_i(n) = \Phi_i(n)/(r, \Phi_{i_{r'}}(n)), \quad (1.1)$$

where $\Phi_i(x)$ is the i th cyclotomic polynomial and r is the largest prime dividing i , and if $i_{r'}$ does not divide $r - 1$, then $(r, \Phi_{i_{r'}}(n)) = 1$.

Now we give an upper bound for element orders in simple groups of Lie type. We make use of the following number-theoretic

LEMMA 1.2. *Let m be a natural number, $m = m_1 + m_2 + \dots + m_s$ be an expansion of m into a sum of nonzero summands, and u be an even natural number.*

(1) *If $u^{m_1} + 1, u^{m_2} + 1, \dots, u^{m_s} + 1$ are pairwise coprime then*

$$a = (u^{m_1} + 1)(u^{m_2} + 1) \dots (u^{m_s} + 1) \leq (u^{m'_1} + 1)(u^{m'_2} + 1) \dots (u^{m'_t} + 1),$$

where $m'_1 + m'_2 + \dots + m'_t$ is the binary expansion of m . In particular, if \tilde{m} is the 2-part of m then $a \leq (u^{m+\tilde{m}} - 1)/(u^{\tilde{m}} - 1)$.

(2) *The number $a = [u^{m_1} \pm 1, u^{m_2} \pm 1, \dots, u^{m_s} \pm 1]$, where signs can be chosen independently, does not exceed $(u^{m+1} - 1)/(u - 1)$.*

Proof. (1) We proceed by induction on m . If $m = 1$, the assertion is obvious.

Let all m_i be even. By the inductive hypothesis

$$a \leq ((u^2)^{m'_1} + 1)((u^2)^{m'_2} + 1) \dots ((u^2)^{m'_t} + 1),$$

where $m'_1 + m'_2 + \dots + m'_t$ is the binary expansion of $m/2$. Since $2m'_1 + 2m'_2 + \dots + 2m'_t$ is the binary expansion of m , in this case the required inequality holds.

Suppose that not all m_i are even. If m_i and m_j are odd then $u^{m_i} + 1$ and $u^{m_j} + 1$ are not coprime. Thus there is exactly one odd number among m_i . We assume that this is m_1 .

Suppose that $m_1 = 1$. By the inductive hypothesis

$$a \leq (u + 1)(u^{m'_1} + 1)(u^{m'_2} + 1) \dots (u^{m'_t} + 1),$$

where $m'_1 + m'_2 + \dots + m'_t$ is the binary expansion of the even number $m - 1$. Since $1 + m'_1 + m'_2 + \dots + m'_t$ is the binary expansion of m , in this case the required inequality holds.

Suppose that $m_1 \geq 3$. Put $l = m - m_1$ and denote the 2-part of l by \tilde{l} . Then $\tilde{l} \geq 2$. By the inductive hypothesis

$$a \leq (u^{m_1} + 1) \frac{u^{l+\tilde{l}} - 1}{u^{\tilde{l}} - 1} \leq (u^{m_1} + 1)(u^l + u^{l-2} + \dots + u^2 + 1).$$

Since m_1 is odd and l is even, we have

$$(u^{m_1} + 1)(u^l + u^{l-2} + \dots + u^2 + 1) \leq u^m + u^{m-2} + u^{m-3} + \dots + 1 \leq u^m + u^{m-1}.$$

It remains to observe that the binary expansion $m'_1 + m'_2 + \dots + m'_t$ of m contains 1, so the polynomial $(u^{m'_1} + 1)(u^{m'_2} + 1) \dots (u^{m'_t} + 1)$ contains u^{m-1} in addition to u^m .

Let $m = m_1 + m_2 + \dots + m_s$ be the binary expansion of m . We claim that $a \leq (u^{m+\tilde{m}} - 1)/(u^{\tilde{m}} - 1)$, where \tilde{m} is equal to the 2-part of m . We can assume that $\tilde{m} = m_1$. Also $a = (u^{m_1} + 1) \dots (u^{m_s} + 1) = \sum u^{m_{i_1} + \dots + m_{i_l}}$, where the sum runs over all distinct subsets $\{i_1, \dots, i_l\}$ of $\{1, \dots, s\}$. The numbers m_i are pairwise different but every natural number can be uniquely expanded as a sum of pairwise different powers of two, hence each coefficient in the expansion of a by powers of u^{m_1} is equal to 0 or 1. Thus $a \leq u^m + u^{m-m_1} + \dots + 1 = (u^{m+m_1} - 1)/(u^{m_1} - 1)$.

(2) We proceed by induction on m . The assertion is obvious if $m = 1$.

Suppose that there is a minus among the signs. Assume that the minus enters into $u^{m_1} \pm 1$. Then by the inductive hypothesis

$$\begin{aligned} a &\leq (u^{m_1} - 1)[u^{m_2} \pm 1, \dots, u^{m_s} \pm 1] \\ &\leq (u^{m_1} - 1) \frac{u^{m-m_1+1} - 1}{u - 1} = \frac{u^{m+1} - u^{m_1} - u^{m-m_1+1} + 1}{u - 1}, \end{aligned}$$

and the required inequality follows.

Thus all signs are pluses. Suppose that there are non-coprime numbers among $u^{m_i} + 1$. Let $(u^{m_1} + 1, u^{m_2} + 1) \neq 1$. If $m_1 = m_2$ then $a \leq (u^{m-m_1+1} - 1)/(u - 1) < (u^{m+1} - 1)/(u - 1)$, so we can assume that $m_1 < m_2$. By $(u^{m_1} + 1, u^{m_2} + 1) \geq u + 1$ and the inductive hypothesis,

we have

$$\begin{aligned} a &\leq \frac{(u^{m_1+1})(u^{m_2+1})}{u+1} \cdot \frac{u^{m-m_1-m_2+1}-1}{u-1} \\ &\leq \frac{u^{m+1} + u^{m-m_1+1} + u^{m-m_2+1} + u^{m-m_1-m_2+1} - u - 1}{(u+1)(u-1)}, \end{aligned}$$

whence

$$a \leq \frac{u^{m+1} + u^m + u^{m-1} + u^{m-2} - u - 1}{(u+1)(u-1)} \leq \frac{u^{m+2} + u^{m+1} - u - 1}{(u+1)(u-1)} = \frac{u^{m+1} - 1}{u-1}.$$

The lemma is proved.

LEMMA 1.3. *Let S be a simple group of Lie type of rank m over a field of characteristic v and order u .*

(1) *If S is a Ree or Suzuki group distinct from the Tits group ${}^2F_4(2)'$, then element orders of S is at most $v/(v-1) \cdot u^{m/2}$.*

(2) *If $S = E_8(u)$ then element orders of S is at most $(u+1)/(u-1) \cdot u^8$.*

(3) *If S is distinct from Ree and Suzuki groups and from $E_8(u)$, then element orders of S is at most $u/(u-1) \cdot u^m$.*

Proof. (1) The periods of maximal tori of ${}^2F_4(u)$, ${}^2G_2(u)$, and ${}^2B_2(u)$ do not exceed $u^2 + u\sqrt{2u} + u + \sqrt{2u} + 1$, $u + \sqrt{3u} + 1$, and $u + \sqrt{2u} + 1$ respectively (see [13, Tables 3–6]). By hypothesis $v < u$, therefore every of these numbers is bounded above by the sum of geometric series with the ratio v , the first term equal to 1 and the last term equal to $u^{m/2}$. This sum is equal to $(vu^{m/2} - 1)/(v - 1)$ and so does not exceed $v/(v - 1) \cdot u^{m/2}$.

By [14, Prop. 0.5], the largest order of v -elements in ${}^2F_4(u)$, ${}^2G_2(u)$ and ${}^2B_2(u)$ is equal to 16, 9 and 4 respectively; in particular, it is not larger than $u^{m/2}$.

It follows from [13, Table 3] that orders of elements of mixed type in ${}^2F_4(u)$ are at most $v^2(u + \sqrt{2u} + 1)$. Since $u \geq v^3$, this number is less than $v/(v - 1) \cdot u^{m/2}$. The order of every element of mixed type in ${}^2G_2(u)$ is equal to 6 and, since $u \geq 27$, does not exceed $v/(v - 1) \cdot u^{m/2}$. There is no elements of mixed type in ${}^2B_2(u)$.

(2) It follows from [15] that periods of maximal tori of $E_8(u)$ are at most $(u^5 - 1)(u + 1)(u^2 + u + 1) = u^8 + 2u^7 + 2u^6 + u^5 - u^3 - 2u^2 - 2u - 1$, therefore, they are less than $u^8 + 2u^8/(u - 1) = (u + 1)/(u - 1) \cdot u^8$.

By [14, Prop. 0.5], orders of v -elements of $E_8(u)$ are at most u^5 . Using information from [16, Table 2], it is not hard to check that orders of elements of mixed type do not exceed $v(u^7 + 2u^7/(u-1)) = (u+1)/(u-1) \cdot vu^7$.

(3) Let S be an exceptional group of Lie type distinct from Ree and Suzuki groups and from $E_8(u)$. Using [14, Prop. 0.5; 16, Tables 1; 13], it is not hard to check that element orders of S do not exceed $u^m + u^{m-1} + \dots + 1$, therefore, they are less than $u/(u-1) \cdot u^m$. So S is a classical group in what follows.

Let $S = A_m^\varepsilon(u)$. By [17, 18], $\omega(S)$ is contained in the set of divisors of the following numbers:

$(u^{m+1} - \varepsilon 1)/(u - \varepsilon 1)$, $[u^{m_1} - (\varepsilon 1)^{m_1}, \dots, u^{m_s} - (\varepsilon 1)^{m_s}]$, where $m_1 + \dots + m_s = m + 1$ and $s \geq 2$;

$v^{l+1}[u^{m_1} - (\varepsilon 1)^{m_1}, \dots, u^{m_s} - (\varepsilon 1)^{m_s}]$, where $l \geq 0$ and $v^l + 1 + m_1 + \dots + m_s = m + 1$;
 v^{l+1} , if $v^l = m$.

Note that $(u^{m+1} - \varepsilon 1)/(u - \varepsilon 1) < (u^{m+1} - 1)/(u - 1) < u^{m+1}/(u - 1)$. Furthermore, for every m_1, \dots, m_s such that $m_1 + \dots + m_s = m + 1$ and $s \geq 2$, we have

$$[u^{m_1} - 1, \dots, u^{m_s} - 1] \leq \frac{(u^{m_1} - 1) \dots (u^{m_s} - 1)}{u - 1} \leq \frac{u^{m+1}}{u - 1}, \quad (1.2)$$

$$\begin{aligned} [u^{m_1} - (-1)^{m_1}, \dots, u^{m_s} - (-1)^{m_s}] &\leq (u + 1) \frac{u^{m_1} - (-1)^{m_1}}{u + 1} \dots \frac{u^{m_s} - (-1)^{m_s}}{u + 1} \\ &\leq (u + 1) u^{m_1-1} \dots u^{m_s-1} = (u + 1) u^{m+1-s} < \frac{u^{m+1}}{u - 1}. \end{aligned} \quad (1.3)$$

Hence the order of a semisimple element of S is at most $u^{m+1}/(u - 1)$.

Let $a = v^{l+1}[u^{m_1} - (\varepsilon 1)^{m_1}, \dots, u^{m_s} - (\varepsilon 1)^{m_s}]$, where $l \geq 0$ и $v^l + m_1 + \dots + m_s = m$. Since $l + 1 \leq v^l < m$ and it follows from (1.2) and (1.3) that $[u^{m_1} - (\varepsilon 1)^{m_1}, \dots, u^{m_s} - (\varepsilon 1)^{m_s}] \leq u^{m_1 + \dots + m_s} + 1$, we have $a \leq u^{v^l} (u^{m_1 + \dots + m_s} + 1) = u^m + u^{v^l} \leq u^m + u^m/u < u^{m+1}/(u - 1)$. If $v^l = m$ then $a = v^{l+1} \leq u^{v^l} = u^m$. Thus the assertion is proved for linear and unitary groups.

Let S be a symplectic or orthogonal group. Observe that $\omega(D_m^\varepsilon(u)) \subseteq \omega(B_m(u))$, because $B_m(u)$ contains the section isomorphic to $D_m^\varepsilon(u)$ and $\omega(B_m(u)) \subseteq \omega(C_m(u))$ by [19, Cor. 1, 3]. It suffices therefore to handle the symplectic groups.

Let $S = C_m(u)$, where u is odd. By [19, Cor. 1], the spectrum of S coincides with the set of divisors of the following numbers:

$$\begin{aligned} & (u^m \pm 1)/2, [u^{m_1} \pm 1, \dots, u^{m_s} \pm 1], \text{ where } m_1 + \dots + m_s = m \text{ и } s \geq 2; \\ & v^{l+1}[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1], \text{ where } l \geq 0 \text{ and } (v^l + 1)/2 + m_1 + \dots + m_s = m; \\ & v^{l+1}, \text{ and } v^l + 1 = 2m. \end{aligned}$$

It follows by [20, Lemma 9(1)] that for $m \geq 4$, the order of a semisimple element of S is at most $u^m + 1$. For $m = 2, 3$ this fact can be established by direct calculation.

Let $a = v^{l+1}[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1]$, where $(v^l + 1)/2 + m_1 + \dots + m_s = m$. Suppose that $l \geq 2$. Then $l + 1 \leq (v^l - 1)/2$. Together with the estimate of orders of semisimple elements, this yields

$$a \leq u^{(v^l - 1)/2}(u^{m_1 + \dots + m_s} + 1) = u^{m-1} + u^{(v^l - 1)/2} < u^m.$$

If $l = 0$ then $a \leq v(u^{m-1} + 1) \leq u^m + u$. If $l = 1$ then $m \geq 3$ and $a \leq u^2(u^{m-2} + 1) = u^m + u^2 \leq u^m \cdot u/(u - 1)$. If $v^l + 1 = 2m$ then $a = v^{l+1} \leq u^{(v^l + 1)/2} = u^m$.

Let $S = C_m(u)$, where u is even. By [19, Cor. 2], the spectrum of S coincides with the set of divisors of the following numbers:

$$\begin{aligned} & [u^{m_1} \pm 1, \dots, u^{m_s} \pm 1], \text{ where } m_1 + \dots + m_s = m; \\ & 2[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1], \text{ where } m_1 + \dots + m_s = m - 1; \\ & 2^{l+1}[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1], \text{ where } l \geq 1 \text{ and } 2^{l-1} + 1 + m_1 + \dots + m_s = m; \\ & 2^{l+1}, \text{ and } 2^{l-1} = m. \end{aligned}$$

Lemma 1.2 implies that the order of a semisimple element of $C_m(u)$ is at most $(u^{m+1} - 1)/(u - 1)$.

Let $a = 2^{l+1}[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1]$, where $l \geq 1$ and $2^{l-1} + 1 + m_1 + \dots + m_s = m$. Since $l \leq 2^{l-1}$ and $[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1] \leq (u^{m_1 + \dots + m_s + 1} - 1)/(u - 1)$, we have

$$a \leq u^{2^{l-1} + 1} \frac{u^{m_1 + \dots + m_s + 1} - 1}{u - 1} = \frac{u^{m+1} - u^{2^{l-1} + 1}}{u - 1} \leq \frac{u^{m+1} - 1}{u - 1}.$$

The cases when $a = 2[u^{m_1} \pm 1, \dots, u^{m_s} \pm 1]$ or $a = 2^{l+1}$ can be handled in a similar manner. The lemma is proved.

The orders of simple groups of Lie type are well-known, so it is easy to check that the following two lemmas are valid.

LEMMA 1.4. *Let S be a simple group of Lie type of rank m over a field of characteristic v and order u , and V be a Sylow v -subgroup of S . Put $\sigma(m, S) = 2 \log_u |V|/m$ if S is a Ree or Suzuki group, and $\sigma(m, S) = \log_u |V|/m$ otherwise.*

- (1) *If $S = {}^2B_2(u)$ then $|V| = u^2$ and $\sigma(m, S) = 2$.*
- (2) *If $S = {}^2G_2(u)$ then $|V| = u^3$ and $\sigma(m, S) = 3$.*
- (3) *If $S = {}^2F_4(u)$ then $|V| = u^{12}$ and $\sigma(m, S) = 6$.*
- (4) *If $S = G_2(u)$ then $|V| = u^6$ and $\sigma(m, S) = 3$.*
- (5) *If $S = F_4(u)$ then $|V| = u^{24}$ and $\sigma(m, S) = 6$.*
- (6) *If $S = E_6^\varepsilon(u)$ then $|V| = u^{36}$ and $\sigma(m, S) = 6$.*
- (7) *If $S = E_7(u)$ then $|V| = u^{63}$ and $\sigma(m, S) = 9$.*
- (8) *If $S = E_8(u)$ then $|V| = u^{120}$ and $\sigma(m, S) = 15$.*
- (9) *If $S = A_m^\varepsilon(u)$ then $|V| = u^{m(m+1)/2}$ and $\sigma(m, S) = (m+1)/2$.*
- (10) *If $S = B_m(u)$ or $S = C_m(u)$ then $|V| = u^{m^2}$ and $\sigma(m, S) = m$.*
- (11) *If $S = D_m^\varepsilon(u)$ then $|V| = u^{m(m-1)}$ and $\sigma(m, S) = m-1$.*

LEMMA 1.5. *Let S be a simple group of Lie type of rank larger than 1 over a field of characteristic v , and let V be a Sylow v -subgroup of S . Then $|V|^{8/3} > |S|$.*

LEMMA 1.6. *Let L be one of the groups $B_n(q)$, $C_n(q)$, $D_n^\varepsilon(q)$, $v \in \pi(L)$ and $(v, q) = 1$. Then the order of a Sylow v -subgroup V of L does not exceed $f(q)^{3n/2}$, where the function $f(q)$ is defined as follows:*

- (1) *for $v > 3$, put $f(q) = q$ if q is odd or $v \neq q^i + 1$, and put $f(q) = q + 1$ if q is even and $v = q^i + 1$;*
- (2) *for $v = 3$, put $f(q) = q$ if $q \neq 2, 8$, and put $f(q) = q + 1$ if $q = 2, 8$;*
- (3) *for $v = 2$, put $f(q) = (q^2 - 1)_2$; if none of $q \pm 1$ is a power of 2 then $f(q) \leq (q + 1)$,*
and in all cases $f(q) \leq 2(q + 1)$.

More precisely, $|V| \leq f(q)^n \cdot v^{\delta(n,v)}$, where $\delta = \delta(n, v) = \sum_{l=1}^{\infty} \lfloor \frac{n}{v^l} \rfloor$.

Proof. The order of L divides $q^{n^2} \prod_{j=1}^n (q^{2j} - 1)$, therefore

$$|V| \leq \prod_{j=1}^n (q^{2j} - 1)_v.$$

Let i be the multiplicative order of q^2 modulo v and $v^k = (q^{2i} - 1)_v$. If i does not divide j , then $(q^{2j} - 1)_v = 1$. If i divides j then $(q^{2j} - 1)_v = (q^2 - 1)_v(j/i)_v = v^k(j/i)_v$ (cf. [21, Lemma 1]). Among natural numbers less than or equal to n , there are exactly $[n/i]$ numbers divisible by i ; among them, there are exactly $[n/iv^l]$ numbers divisible by iv^l . Hence the order of V is at most $v^{k[n/i]+t}$, where $t = \sum_{l=1}^{\infty} [n/iv^l]$. We have

$$t = \sum_{l=1}^{\infty} \left[\frac{n}{iv^l} \right] \leq \frac{1}{i} \sum_{l=1}^{\infty} \left[\frac{n}{v^l} \right] = \frac{\delta}{i} \leq \frac{1}{i} \left[\sum_{l=1}^{\infty} \frac{n}{v^l} \right] = \frac{1}{i} \left[\frac{n}{v-1} \right].$$

Now we estimate $v^k = (q^i - 1)_v(q^i + 1)_v$ in terms of q .

Let $v \neq 2$. Then $(q^i - 1, q^i + 1)_v = 1$, and so v^k divides either $q^i + 1$ or $q^i - 1$. If q is odd and $v^k \neq q^i + 1$ then $v^k < q^i + 1$, hence $v^k \leq q^i$. If q is even and $v^k = q^i + 1$ then $v^k \leq (q + 1)^i$. Observe that the equality $v^k = q^i + 1$ is possible for $v > 3$ only if $k = 1$, and for $v = 3$ it is possible only if $q = 2, 8$.

If $v = 2$ then $i = 1$, so $v^k = (q^2 - 1)_2 = (q - 1)_2(q + 1)_2 \leq 2(q + 1)$. If neither $q - 1$ nor $q + 1$ is a power of 2, then $v^k = (q - 1)_2(q + 1)_2 \leq 2(q + 1)/3 < q + 1$.

In all cases $v^k \leq f(q)^i$, therefore

$$|V| \leq v^{k[n/i]+\delta/i} \leq v^{kn/i} \cdot v^{\delta/i} \leq f(q)^n \cdot v^{\delta/i},$$

and so the last assertion of the lemma holds. Since

$$v^{\delta/i} \leq v^{[n/(v-1)]/i} \leq f(q)^{[n/(v-1)]/k}$$

and $k \geq 3$ for $v = 2$, we derive that $v^{\delta/i} \leq f(q)^{n/2}$. Thus $|V| \leq f(q)^{3n/2}$. The lemma is proved.

LEMMA 1.7. *Let S be a finite nonabelian simple group whose Sylow p -subgroup P has order p^γ . If $|S| < |P|^3$ then S is one of the following groups:*

- (1) a group of Lie type over a field of characteristic p ;
- (2) an alternating or sporadic group;
- (3) $A_1(u)$;
- (4) ${}^2A_2(3)$, where $\gamma = 5$ and $p = 2$;
- (5) ${}^2A_4(2)$, where $\gamma = 5$ and $p = 3$.

Proof. The claim follows from [22, Lemmas 1, 2].

LEMMA 1.8 [23, Lemma 1]. *Let G be a finite group, K be a normal subgroup of G , G/K be a Frobenius group with kernel F and cyclic complement C . If $(|F|, |K|) = 1$ and F is not contained in $KC_G(K)/K$, then $r|C| \in \omega(G)$ for some prime divisor r of $|K|$.*

§ 2. Gruenberg — Kegel graph and groups isospectral to simple groups

The *Gruenberg — Kegel graph* $GK(G)$, or the *prime graph*, of a finite group G is the graph with vertex set $\pi(G)$ in which two distinct vertices p and q are adjacent if and only if $pq \in \omega(G)$. Obviously, the graph $GK(G)$ is uniquely determined by the spectrum $\omega(G)$ of G . The number of connected components of $GK(G)$ is denoted by $s(G)$, and the connected components are denoted by $\pi_i(G)$ with $1 \leq i \leq s(G)$. If G has even order, then by default $2 \in \pi_1(G)$. According to this partition, $\omega_i(G)$ is the subset of $\pi_i(G)$ -numbers of $\omega(G)$ for every $1 \leq i \leq s(G)$.

LEMMA 2.1 (Gruenberg — Kegel [24]). *If G is a finite group with $s(G) > 1$ then one of the following holds:*

- (1) $s(G) = 2$, G is a Frobenius group;
- (2) $s(G) = 2$, $G = ABC$, where A, AB are normal subgroups of G , B is a normal subgroup of BC , and AB, BC are Frobenius groups;
- (3) there is a nonabelian simple group S such that $S \leq \overline{G} = G/K \leq \text{Aut } S$ for some nilpotent normal subgroup K of G ; moreover, K and \overline{G}/S are $\pi_1(G)$ -groups, $s(S) \geq s(G)$, and for every $1 < i \leq s(G)$ there is $1 < j \leq s(S)$ such that $\omega_i(G) = \omega_j(S)$.

Finite simple groups with disconnected prime graph were described in [24, 25]. The complete list of these groups, with corrected inaccuracies, can be found in [26, Tables 1a–1c]. By results of [24, 25], if S is a simple group and $s(S) > 1$ then for every $1 < i \leq s(S)$ the set $\omega_i(S)$ has a unique maximal under divisibility element. In the tables mentioned above and in the present paper, this maximal element is denoted by $n_i(S)$.

Recall that an *independent set of vertices*, or a *coclique*, in a graph Γ is a set of vertices that are pairwise non-adjacent to each other in Γ . We write $t(\Gamma)$ to denote the independence number of Γ , i. e., the maximal number of vertices in its cocliques. Given a group G , put

$t(G) = t(GK(G))$. By analogy, given a prime r , define the r -independence number $t(r, G)$ to be the maximal number of vertices in cocliques of $GK(G)$ containing the vertex r .

LEMMA 2.2 [27, 28]. *Let L be a finite non-abelian simple group such that $t(L) \geq 3$ and $t(2, L) \geq 2$, and G be a finite group with $\omega(G) = \omega(L)$. Then the following hold.*

(1) *There exists a nonabelian simple group S such that $S \leq \overline{G} = G/K \leq \text{Aut } S$, where K is the maximal normal soluble subgroup of G .*

(2) *For every coclique ρ of $GK(G)$ of size at least 3, at most one prime of ρ divides the product $|K| \cdot |\overline{G}/S|$. In particular, $t(S) \geq t(G) - 1$.*

(3) *Every prime $r \in \pi(G)$ not adjacent to 2 in $GK(G)$ does not divide the product $|K| \cdot |\overline{G}/S|$. In particular, $t(2, S) \geq t(2, G)$.*

If Γ is a prime graph and π is a set of natural numbers, we write $\Gamma \setminus \pi$ to denote the maximal subgraph of Γ all whose vertices do not lie in π . Observe that assertion (2) of Lemma 2.2 yields, alongside the inequality $t(S) \geq t(G) - 1$, the inequality $t(GK(S) \setminus \pi) \geq t(GK(G) \setminus \pi) - 1$ for every set of primes π .

LEMMA 2.3. *Let L be one of the simple groups $B_n(q)$ with $n \geq 2$ and $(n, q) \neq (2, 3)$, $C_n(q)$ with $n \geq 3$, $D_n(q)$, ${}^2D_n(q)$ with $n \geq 4$, and G be a finite group with $\omega(G) = \omega(L)$. Then there exists a simple nonabelian group S such that*

$$S \leq \overline{G} = G/K \leq \text{Aut } S,$$

where K is the soluble radical of G . Furthermore, G , K and S satisfy assertions (2) and (3) of Lemma 2.2.

Proof. If $n > 2$ and $L \neq D_4(2)$ then it follows from [29] that L satisfies $t(L) \geq 3$ and $t(2, L) \geq 2$, so Lemma 2.2 implies the assertion. Let $n = 2$ or $L = D_4(2)$. Then L has disconnected prime graph, therefore, the claim follows by the Gruenberg — Kegel theorem and the main result of [30] together with the fact that in this case $t(L) = 2$. The lemma is proved.

Note that the assertion of Lemma 2.3 is false for $B_2(3) (\simeq C_2(3))$. As it is shown in [31], there exists a soluble group isospectral to L . The fact that $B_2(3)$ is recognizable by spectrum and order will be established in §3.

In what follows in this section, L is a finite simple symplectic or orthogonal group distinct from $B_2(3)$, and G is a finite group with the same spectrum (in other words, L and G are *isospectral*). Then it follows from the last lemma that G satisfies the conclusion of Lemma 2.2 and, in particular, contains a unique nonabelian composition factor S .

LEMMA 2.4 [9, Thm. 1]. *Let L be one of the simple groups $B_n(q)$ with $n \geq 2$ and $(n, q) \neq (2, 3)$, $C_n(q)$ with $n \geq 3$, and $D_n(q)$, ${}^2D_n(q)$ with $n \geq 4$. Then there are no alternating groups among nonabelian composition factors of finite groups isospectral to L .*

LEMMA 2.5 [9, Thm. 2]. *Let L be one of the simple groups $B_n(q)$ with $n \geq 2$ and $(n, q) \neq (2, 3)$, $C_n(q)$ with $n \geq 3$, and $D_n(q)$, ${}^2D_n(q)$ with $n \geq 4$. Then there are no sporadic groups nor the Tits group ${}^2F_4(2)'$ among nonabelian composition factors of finite groups isospectral to L .*

LEMMA 2.6 [9, Thm. 3]. *Let q be a power of a prime p , L be one of the simple groups $B_n(q)$ with $n \geq 2$ and $(n, q) \neq (2, 3)$, $C_n(q)$ with $n \geq 3$, and $D_n(q)$, ${}^2D_n(q)$ with $n \geq 4$, G be the finite group with $\omega(G) = \omega(L)$. Suppose that there is a factor S among nonabelian composition factors of G which is isomorphic to a group of Lie type over a field of characteristic p .*

- (1) *If $L = B_2(q)$, where $q > 3$, then S is isomorphic to one of the groups $A_1(q^2)$, $B_2(q)$.*
- (2) *If $L \in \{B_3(q), C_3(q), D_4(q)\}$, then S is isomorphic to one of the groups $A_1(q^3)$, $B_3(q)$, $C_3(q)$, $D_4(q)$, $G_2(q)$.*
- (3) *If $n \geq 4$ and $L \in \{B_n(q), C_n(q), {}^2D_n(q)\}$, then S is isomorphic to one of the groups $B_n(q)$, $C_n(q)$, ${}^2D_n(q)$.*
- (4) *If $n \geq 6$ is even and $L = D_n(q)$, then S is isomorphic to one of the groups $B_{n-1}(q)$, $C_{n-1}(q)$, $D_n(q)$.*
- (5) *If $n \geq 5$ is odd and $L = D_n(q)$, then S is isomorphic to L .*

These results implies that the unique nonabelian composition factor S of a group G isospectral to L must be a group of Lie type; moreover, when L and S are defined over fields of the same characteristic, the number of possibilities for S is small. For a number of symplectic and orthogonal groups of small orders, there are some stronger assertions on G and S obtained by various authors.

LEMMA 2.7. *Let L be one of the groups $B_n(q)$, $C_n(q)$, $D_n(q)$, and G be a finite group with $\omega(G) = \omega(L)$.*

- (1) *If $L = B_3(2)$ then $G \simeq B_3(2)$ or $G \simeq D_4(2)$ (see [26, 32]).*
- (2) *If $L = B_3(3)$ then $G \simeq B_3(3)$ or $G \simeq D_4(3)$ (see [32]).*
- (3) *If $L = B_4(2)$ then G has a unique nonabelian composition factor S and $S \in \{B_4(2), {}^2D_4(2)\}$ (see [33]).*
- (4) *If $L = B_4(3)$ then G has a unique nonabelian composition factor S and $S \in \{B_4(3), {}^2D_4(3)\}$ (see [20]).*
- (5) *If $L = C_3(3)$ then $G \simeq C_3(3)$ (see [23]).*
- (6) *If $L = C_4(3)$ then G has a unique nonabelian composition factor S and $S \in \{C_4(3), {}^2D_4(3)\}$ (see [20]).*
- (7) *If $L = D_4(2)$ then $G \simeq B_3(2)$ or $G \simeq D_4(2)$ (see [26, 32]).*
- (8) *If $L = D_4(3)$ then $G \simeq B_3(3)$ or $G \simeq D_4(3)$ (see [32]).*

It follows by Lemma 2.2 that many properties of the prime graph of L can be transferred to the graph of S in some way or other. For that reason, our proof of the main result uses an adjacency criterion of prime graphs of simple groups from [29] (with amendments from [34, § 4]). For symplectic and orthogonal groups, this criterion is formulated in terms of the function $\eta : \mathbb{N} \rightarrow \mathbb{N}$ defined by

$$\eta(n) = \begin{cases} n, & \text{if } n \text{ is odd} \\ n/2 & \text{otherwise.} \end{cases}$$

LEMMA 2.8 [9, Lemma 2.2]. *Let L , G , S and K be as in Lemma 2.3.*

- (1) *Suppose $L = B_n(q)$ or $L = C_n(q)$, where $n \geq 3$ and $(n, q) \neq (3, 2)$. If there exists i such that $n/2 < \eta(i) \leq n$ and $k_i(q) \notin \omega(S)$ then for every $j \neq i$ with $n/2 \leq \eta(j) \leq n$, the number $k_j(q)$ is coprime to $|K| \cdot |\overline{G}/S|$ and lies in $\omega(S)$.*
- (2) *Suppose $L = D_n(q)$, where $n \geq 4$ and $(n, q) \neq (4, 2)$. If there exists $i \neq 2n$ such that $n/2 < \eta(i) \leq n$ and $k_i(q) \notin \omega(S)$ then for every $j \notin \{i, 2n\}$ with $n/2 \leq \eta(j) \leq n$, the number $k_j(q)$ is coprime to $|K| \cdot |\overline{G}/S|$ and lies in $\omega(S)$.*
- (3) *Suppose $L = {}^2D_n(q)$, where $n \geq 4$ and $(n, q) \neq (4, 2), (5, 2)$. If there exists $i \neq n$*

such that $n/2 < \eta(i) \leq n$ and $k_i(q) \notin \omega(S)$ then for every $j \notin \{i, n\}$ with $n/2 \leq \eta(j) \leq n$, the number $k_j(q)$ is coprime to $|K| \cdot |\overline{G}/S|$ and lies in $\omega(S)$.

LEMMA 2.9. *Let L be one of the simple groups $B_n(q)$, where $n \geq 2$ and $(n, q) \neq (2, 3)$, $C_n(q)$, where $n \geq 3$, $D_n(q)$, where n is even and $n \geq 4$; G be a finite group with $\omega(G) = \omega(L)$, and S and K be as in Lemma 2.3. Then S contains an element of order at least $h(q)$, where $h(q)$ is defined by the following:*

(1) *If $L = B_n(q)$, $C_n(q)$, where $n \geq 7$, or $L = D_n(q)$, where $n \geq 8$, then*

$$h(q) = \begin{cases} (q^7 + 1)/(q + 1), & \text{if } 7 \nmid q^2 - 1; \\ (q^7 + 1)/7(q + 1), & \text{if } 7 \mid q^2 - 1. \end{cases}$$

(2) *If L is one of the groups $B_4(q)$, $C_4(q)$, $B_5(q)$, $C_5(q)$, $B_6(q)$, $C_6(q)$, $D_6(q)$, then $h(q) = (q^4 + 1)/2$.*

(3) *If L is one of the groups $B_2(q)$, $B_3(q)$, $C_3(q)$, $D_4(q)$, where $q > 2$, then $h(q) = (q^2 + 1)/2$.*

Proof. (1) Let $n < 14$. By Lemma 2.8 at least one of the numbers $k_7(q)$, $k_{14}(q)$ lies in $\omega(S)$. We compare these numbers using (1.1). If $7 \nmid q^2 - 1$ then $k_7(q) \geq k_{14}(q) = (q^7 + 1)/(q + 1)$. If $7 \mid q^2 - 1$ then each of the numbers $k_{14}(q)$, $k_7(q)$ is at least $(q^7 + 1)/7(q + 1)$.

Let $n \geq 14$. There exist two distinct primes i and j such that $n/2 < i < j < n$. By Lemma 2.8 at least one of the numbers $k_i(q)$, $k_j(q)$ lies in $\omega(S)$. On the other hand, $k_i(q) \geq (q^i - 1)/(q - 1)^2 \geq (q^{11} - 1)/(q - 1)^2 > (q^7 + 1)/(q + 1)$, therefore, S contains an element of the required order.

(2) For $B_4(q)$ and $C_4(q)$ the assertion follows by the Gruenberg — Kegel theorem and [26, Table 1a], so we can assume that $n = 5, 6$. Lemma 2.8 implies that at least two of the numbers $k_{10}(q)$, $k_5(q)$, $k_8(q)$ lie in $\omega(S)$. Compare these numbers. If q is even and $5 \nmid q - 1$ then $k_5(q)$ and $k_8(q)$ are greater than $(q^4 + 1)/2$; and if $5 \mid q - 1$ then $k_{10}(q)$ and $k_8(q)$ are greater than $(q^4 + 1)/2$. If q is odd and $5 \nmid q^2 - 1$ then $k_8(q) = (q^4 + 1)/2$ is the least number among $k_5(q)$, $k_{10}(q)$, and $k_8(q)$; and if $5 \mid q^2 - 1$ then $k_8(q)$ is the second largest among them.

(3) For $B_2(q)$ the assertion follows by the Gruenberg — Kegel theorem and [26, Table 1a],

so we can assume that $n = 3, 4$. By Lemma 2.8 at least two of the numbers $k_6(q)$, $k_3(q)$, and $k_4(q)$ lie in $\omega(S)$. The further argument is similar to the proof of (2). The lemma is proved.

§ 3. Proof of the theorem: Reduction

Let L be a finite simple group and G be a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$. The conclusion of the theorem obviously holds for groups of prime order, therefore, we can assume that L is nonabelian. For sporadic and alternating groups the assertion was proved in [1] and [3] respectively. Also the theorem was proved for Suzuki and Ree groups in [4] and for exceptional groups of Lie type in [5]. Furthermore, the conclusion of the theorem holds if L is a simple linear group [6], unitary group [7], orthogonal group ${}^2D_n(q)$, where n is arbitrary, or orthogonal group $D_n(q)$, where n is odd [8]. Thus, in view of the classification of the finite simple groups, to complete the prove of the theorem, it suffices to establish the following result.

THEOREM 3.1. *If L is one of the simple groups $B_n(q)$, where $n \geq 2$, $C_n(q)$, where $n \geq 3$, and $D_n(q)$, where n is even and $n \geq 4$, and G is a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$, then $G \simeq L$.*

It is precisely the assertion we prove in what follows.

PROPOSITION 3.1. *If $L = B_2(3)$ and G is a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$ then $G \simeq L$.*

Proof. We have $|L| = 2^6 \cdot 3^4 \cdot 5$. The graph $GK(L)$ has two connected components and $n_2(L) = (3^2 + 1)/2 = 5$. Suppose that $G \not\simeq L$. By the Gruenberg — Kegel theorem, G is either Frobenius, or 2-Frobenius, or a nonsoluble group having a unique nonabelian composition factor S . In the last case S is isomorphic to one of the alternating groups A_5 and A_6 , because $\pi(S) \subseteq \pi(L) = \{2, 3, 5\}$ and $S \not\simeq L$. In all cases G includes a subgroup isomorphic to a Frobenius group with kernel A being a 3-group and complement of order 5. Since $|G|_3 = 3^4$, the inequality $|A| \leq 3^4$ holds. On the other hand, $e(5, 3) = 4$, so A is an elementary abelian group of order 3^4 and is a Sylow 3-subgroup of G . Therefore the 3-period of G is equal to 3, and hence $9 \in \omega(L) \setminus \omega(G)$; a contradiction.

By Proposition 3.1 we can assume that $L \neq B_2(3)$. By Lemma 2.3 for a finite group G

with $\omega(G) = \omega(L)$, there is a nonabelian simple group S such that $S \leq \overline{G} = G/K \leq \text{Aut } S$, where K is the soluble radical of G . Lemmas 2.4 and 2.5 imply that S is neither alternating nor sporadic. Thus S is a group of Lie type other than the Tits group.

Now we prove a number of auxiliary results. In each of them we assume that G is a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$, while S , K and \overline{G} are as in the previous paragraph.

LEMMA 3.1. *Suppose that $q = p^\alpha$, where p is a prime, L is one of the simple groups $B_n(q)$, $C_n(q)$, $(n, q) \neq (2, 3)$, or is $D_{n+1}(q)$, n is odd.*

(1) *If the order of the soluble radical K of G is divisible by p then the order of a Sylow p -subgroup of K is equal to $q^{2n\gamma}$, where γ is a positive integer.*

(2) *If p divides $|\overline{G}/S|$ then a Sylow p -subgroup of \overline{G}/S is cyclic for odd p and is either cyclic or a general quaternion group for $p = 2$. In particular, if the p -period of L is equal to p^δ then $|\overline{G}/S|_p$ is at most p^δ for odd p and at most $2^{\delta+1}$ for $p = 2$. Moreover, if p divides the order of K then $|\overline{G}/S|_p \leq p^{\delta-1}$ for odd p and $|\overline{G}/S|_2 \leq 2^\delta$ for $p = 2$.*

Proof. (1) If $L = B_n(q)$ or $L = C_n(q)$ and n is even then every primitive prime divisor $r_{2n}(q)$ is not adjacent to 2 in $GK(G)$, therefore, $k_{2n}(q)$ is coprime to $|K| \cdot |\overline{G}/S|$ by Lemma 2.2(3). Note that $r_{2n}(q)$ is not adjacent to p either. If n is odd and L is one of the groups $B_n(q)$, $C_n(q)$ or $D_{n+1}(q)$, then $\{p, r_n(q), r_{2n}(q)\}$ is a coclique in $GK(G)$ (by Lemma 2.7 we can assume that $L \neq B_3(2), D_4(2)$, and so $k_{2n}(q) \neq 1$). By hypothesis $p \in \pi(K)$ and then Lemma 2.2(2) implies that $k_{2n}(q)$ is coprime to $|K| \cdot |\overline{G}/S|$. Thus in all cases $k_{2n}(q)$ is coprime to $|K| \cdot |\overline{G}/S|$ and so lies in $\omega(S)$.

Let P be a Sylow p -subgroup of K and N be its normalizer in G . Among prime divisors of $k_{2n}(q)$ we choose a prime r that satisfies not only the condition $e(r, p^\alpha) = 2n$ but also a stronger condition $e(r, p) = 2n\alpha$. In other words, $r = r_{2n\alpha}(p)$. By the Frattini argument $\overline{G} = G/K \simeq N/N \cap K$, hence G contains an element x of order r normalizing P . Since r is not adjacent to p , x induces a automorphism of P , which acts fixed-point-freely. Thus $|K|_p = p^{2n\alpha\gamma} = q^{2n\gamma}$ for a positive integer γ .

(2) Suppose that p divides $|\overline{G}/S|$. Reasoning as in (1), it is easy to establish that $k_{2n}(q)$ lies in $\omega(S)$ and is coprime to $|K| \cdot |\overline{G}/S|$. Since the orders of L and G coincide, a cyclic subgroup H of order $k_{2n}(q)$ is a Hall subgroup of S . Since every prime divisor of $k_{2n}(q)$ is

non-adjacent to p , a Sylow p -subgroup A of the normalizer N of H in \overline{G} acts on H freely. Then $H : A$ is a Frobenius group with kernel H and complement A , and hence A is cyclic if p is odd and either cyclic or a general quaternion group if $p = 2$. By the Frattini argument $N/N \cap S \simeq \overline{G}/S$. So a Sylow p -subgroup of \overline{G}/S is isomorphic to a section of A . Thus it is either cyclic or a general quaternion group. Obviously, the p -period of \overline{G}/S does not exceed the p -period of G and hence the p -period of L as well.

Let p divide the order of K and x be an element of A of order p^κ . By the Frattini argument the normalizer of a Sylow p -subgroup P of K includes a Frobenius group isomorphic to $H : \langle x \rangle$. Considering the action of this Frobenius group on the factor group $P/\Phi(P)$ of P by its Frattini subgroup and applying Lemma 1.8, we infer that G contains an element of order $p^{\kappa+1}$. This proves the last assertion of the lemma.

LEMMA 3.2. *Let $L \in \{B_3(q), C_3(q), D_4(q)\}$, where q is a power of a prime p and $q > 3$. Suppose that p divides the order of the soluble radical K of G . Then $k_3(q)k_4(q)k_6(q)$ is coprime to $|K| \cdot |\overline{G}/S|$.*

Proof. Let L be one of the groups $B_3(q), C_3(q), D_4(q)$. For every prime divisors $r_3 = r_3(q)$ and $r_6 = r_6(q)$ the set $\{p, r_3, r_6\}$ is a coclique in $GK(L)$. By our assumption, $p \in \pi(K)$. It follows by Lemma 2.2(2) that the numbers $k_3 = k_3(q) = (q^2 + q + 1)/(3, q - 1)$ and $k_6 = k_6(q) = (q^2 - q + 1)/(3, q + 1)$ lie in $\omega(S)$ and are coprime to $|K| \cdot |\overline{G}/S|$. Denote cyclic subgroups of S of orders k_3 and k_6 by H_1 and H_2 respectively. Observe that the equality of the orders of G and L implies that H_1 and H_2 are Hall subgroups of S . By Lemma 3.1 the order of a Sylow p -subgroup P of K is equal to q^6 if $L = B_3(q)$ or $L = C_3(q)$ and equal to q^6 or q^{12} if $L = D_4(q)$.

Let r be an arbitrary prime divisor of $k_4(q) = (q^2 + 1)/(2, q - 1)$. Note that $r > 3$. Suppose that r divides $|\overline{G}/S|$. Then the normalizers N_1, N_2 of H_1, H_2 in \overline{G} contain elements of order r . Since r is non-adjacent to divisors of k_3 and k_6 in $GK(L)$, \overline{G} includes Frobenius groups with kernels of orders k_3 and k_6 and complements of order r . Hence r divides $k_3 - 1$ and $k_6 - 1$. At least one of the numbers $q^2 + q + 1$ and $q^2 - q + 1$ is not divisible by 3, so one of the numbers $q^2 + q$ and $q^2 - q$ must be a multiple of r . On the other hand, $(q^2 \pm q, (q^2 + 1)/(2, q - 1)) = (q \pm 1, (q^2 + 1)/(2, q - 1)) = 1$; a contradiction.

Now we prove that $(k_4(q), |K|) = 1$ by exploiting the following lemma.

LEMMA 3.3. *Let q be a natural number, $q > 3$, r be a prime and r^δ divide $k_4(q) = (q^2 + 1)/(2, q - 1)$ for a natural number δ . Then a cyclic subgroup of order k , where $k = k_3(q) = (q^2 + q + 1)/(3, q - 1)$ or $k = k_6(q) = (q^2 - q + 1)/(3, q + 1)$, cannot act freely on a group of order r^δ .*

Proof. Otherwise, $r^\delta - 1$ is divisible by k and, in particular, $k < r^\delta$. If $r^\delta \neq (q^2 + 1)/(2, q - 1)$ then, since $((q^2 + 1)/(2, q - 1), 6) = 1$ and $q > 3$, we have $r^\delta \leq k_4(q)/5 \leq \min\{k_3(q), k_6(q)\} \leq k$; a contradiction. Thus $r^\delta = k_4(q)$ and so k divides $k_4(q) - 1$, which is equal to q^2 if q is even and to $(q^2 - 1)/2$ if q is odd. Both cases are impossible, since $(q^2, q^2 \pm q + 1) = 1$ and $(q^2 - 1, q^2 \pm q + 1) = (q^2 - 1, 3)$. The lemma is proved.

We return to the proof of Lemma 3.2. Suppose that a prime divisor r of $k_4(q)$ divides the order of K . Let r^δ be the largest power of r that divides $(q^2 + 1)/(2, q - 1)$. Then the r -period of L is equal to r^δ and the order of a Sylow r -subgroup of L is equal to r^δ if $L = B_3(q), C_3(q)$ and to $r^{2\delta}$ if $L = D_4(q)$. Let V_1, V_2 be normal subgroups of G , $V_1 \leq V_2 \leq K$, $V = V_1/V_2$ be a chief factor of G and $|V| = r^\gamma$. Since S includes cyclic subgroups H_1 and H_2 of orders $k_3(q)$ and $k_6(q)$ respectively, $(|K|, k_3(q)k_6(q)) = 1$ and r is adjacent to none of the prime divisors of $k_3(q)k_6(q)$, it follows that H_1 and H_2 acts freely on V by conjugation. By Lemma 3.3 we have $\gamma \geq \delta + 1$. This immediately yields that $L = D_4(q)$ and V is the unique chief r -factor of K .

If $\delta = 1$ then V is isomorphic to a Sylow r -subgroup of G , which has order r^2 , and so r does not divide $|\overline{G}|$. A simple nonabelian group S is a section of $\text{Aut } V = GL_2(r)$ and is not isomorphic to Alt_5 . Therefore r must divide the order of S ; a contradiction.

Thus $\delta > 1$. In particular, $q \neq 4$ since otherwise $k_4(q) = q^2 + 1 = 17$. Since V is elementary abelian, \overline{G} contains an element of order $r^{\delta-1}$. Therefore $r^{2\delta}/r^{\delta-1} \geq |V| \geq r^{\delta+1}$. Hence $|V| = r^{\delta+1}$. The subgroups H_1, H_2 acts freely on V , so $|V| - 1 = r^{\delta+1} - 1$ is divisible by the least common multiple of $k_3(q)$ and $k_6(q)$, which is equal to $(q^4 + q^2 + 1)/(3, q^2 - 1)$. This contradicts the chain of inequalities $|V| \leq ((q^2 + 1)/(2, q - 1))^{(3/2)} < (q^4 + q^2 + 1)/3$ valid for $q \geq 5$.

Thus $(k_4(q)k_3(q)k_6(q), |K| \cdot |\overline{G}/S|) = 1$. The lemma is proved.

Proposition 3.2. *Let q be a power of a prime p , L be one of the simple groups $B_n(q)$, where $n \geq 2$ and $(n, q) \neq (2, 3)$, $C_n(q)$, where $n \geq 3$, and $D_n(q)$, where n is even and $n \geq 4$. Let G be a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$. Suppose that the unique nonabelian composition factor S of G is isomorphic to a group of Lie type over a field of characteristic p . Then $L \simeq S = G$.*

Proof. Since the spectra of L and G coincide, we can apply Lemma 2.6.

Let $L = D_n(q)$, where n is even and $n \geq 4$. It follows by Lemma 2.4(2),(4) that $S \in \{B_{n-1}(q), C_{n-1}(q), D_n(q)\}$, and if $n = 4$ then alongside the listed possibilities, S can be isomorphic to one of the groups $A_1(q^3)$, $G_2(q)$. Suppose that $S \in \{B_{n-1}(q), C_{n-1}(q)\}$. Then $|L|_p = q^{n(n-1)}$ and $|S|_p = q^{(n-1)^2}$. Furthermore, $|\overline{G}/S|_p \leq |\text{Out } S|_p \leq \log_p q$. Therefore $|\overline{G}/S|_p \leq q$ and hence $|\overline{G}|_p < |L|_p$. So p divides the order of K . It follows by Lemma 3.1 that $|K|_p \geq q^{2(n-1)}$, whence $|G|_p \geq |K|_p \cdot |S|_p \geq q^{(n+1)(n-1)} > q^{n(n-1)} = |L|_p$; a contradiction. It remains therefore to consider the cases when $n = 4$ and S is one of the groups $A_1(q^3)$, $G_2(q)$.

By Lemma 2.7 we can assume that $q > 3$. Estimating the p -part of $|\overline{G}/S|$ in terms of the p -period of L by Lemma 3.1, we derive the inequality $|\overline{G}/S|_p \leq q^2$. Since $|A_1(q^3)|_p = q^3$ and $|G_2(q)|_p = q^6$, if $S \simeq A_1(q^3)$ then $q^3 \leq |\overline{G}|_p \leq q^5$ and if $S \simeq G_2(q)$ then $q^6 \leq |\overline{G}|_p \leq q^8$. In both cases $|\overline{G}|_p < q^{12} = |L|_p$. So p divides the order of K . It follows by Lemma 3.2 that $k_4(q) = (q^2 + 1)/(2, q - 1)$ must divide the order of S . But $|S| = q^3(q^6 - 1)/(2, q - 1)$ for $S \simeq A_1(q^3)$ and $|S| = q^6(q^2 - 1)(q^6 - 1)$ for $S \simeq G_2(q)$, so $(|S|, k_4(q)) = 1$; a contradiction. Thus $S \simeq D_n(q)$ and hence $L \simeq G$.

Let $L = B_2(q)$ and $S \simeq A_1(q^2)$. Since $q > 3$, Lemma 3.1 and [14, Prop. 0.5] imply that $|\overline{G}/S|_p \leq q$. Therefore $|\overline{G}|_p \leq |S|_p \cdot |\overline{G}/S|_p \leq q^3$. Thus p divides the order of K . It follows by Lemma 3.1 that $q^4 = |L|_p$ divides the order K , which is not the case since the order of S is divided by p as well.

Let $L \in \{B_n(q), C_n(q)\}$, $n \geq 3$. The groups $B_n(q)$ and $C_n(q)$ for $n \geq 3$ have the same orders. Hence if $S \in \{B_n(q), C_n(q)\}$ then $G = S$. On the other hand, it is shown in [35, 36] that $\omega(B_n(q)) \neq \omega(C_n(q))$ provided that $B_n(q) \not\cong C_n(q)$. Thus if $S \in \{B_n(q), C_n(q)\}$, then $L \simeq S = G$.

Suppose now that $n \geq 4$ and $S \simeq {}^2D_n(q)$. Then $|L|_p = q^{n^2}$, $|S|_p = q^{n(n-1)}$. Furthermore, $|\overline{G}/S|_p \leq |\text{Out } S|_p \leq 2 \log_p q$. Hence $|\overline{G}/S|_p \leq q$ and so $|\overline{G}|_p < |L|_p$. Thus p divides the order of K . It follows by Lemma 3.1 that $|K|_p \geq q^{2n}$ and therefore $|G|_p \geq |K|_p \cdot |S|_p \geq q^{n(n+1)} > |L|_p$; a contradiction.

Thus to complete the prove, it remains to eliminate the cases when $n = 3$ and $S \in \{A_1(q^3), D_4(q), G_2(q)\}$. If $S \simeq D_4(q)$ then $|G| \geq |S| > |L|$, which is impossible. Therefore $S \in \{A_1(q^3), G_2(q)\}$ and by Lemma 2.7 we can assume that $q > 3$. We have $|L|_p = q^9$. Reasoning similarly to the case when $L = D_4(q)$, we derive that $|\overline{G}/S|_p \leq q^2$ and so $|\overline{G}|_p < |L|_p$. Thus p divides $|K|$. It follows by Lemma 3.2 that $k_4(q) = (q^2 + 1)/(2, q - 1)$ must divide the order of S , which is not the case. The proposition is proved.

§ 4. Proof of the theorem: Cross-characteristic case

Let L be one the simple groups $B_n(q)$, where $n \geq 2$, $(n, q) \neq (2, 3)$, $C_n(q)$, where $n \geq 3$, and $D_n(q)$, where $n \geq 4$ is even, q be a power of a prime p . Let G be a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$, S be the unique nonabelian composition factor of G according to Lemma 2.3. By results of the previous section, we can assume that S is a group of Lie type over a field of characteristic not equal to p . In particular, Lemma 2.7 implies that $L \notin \{B_3(q), C_3(q), B_4(q), C_4(q), D_4(q) \mid q = 2, 3\}$.

Let S be a group of Lie type of rank m over a field of order u and characteristic v , where $v \neq p$. Lemma 2.9 asserts that S contains an element whose order is at least a sufficiently large number $h(q)$. It follows by Lemma 1.3 that $h(q)$ does not exceed the number $v/(v-1) \cdot u^{m/2}$ if S is a Ree or Suzuki group; the number $(u+1)/(u-1) \cdot u^m$ if $S \simeq E_8(u)$; and the number $u/(u-1) \cdot u^m$ in other cases. The number u^m can be expressed in terms of the order of a Sylow v -subgroup V of S . The order of V does not exceed the order of a Sylow v -subgroup of G , and so the order of a Sylow v -subgroup of L as well, which is in its turn can be estimated in terms of $f(q)$ and n according to Lemma 1.6. We show that the chain of these estimates results in a contradiction if n is sufficiently large. And if n is small it enables us to substantially narrow the scope of possibilities for S .

Proposition 4.1. *Let L be one of the groups $B_n(q), C_n(q)$, where $n \geq 7$, and $D_n(q)$,*

where $n \geq 8$ is even; q be a power of a prime p ; G be a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$. Then the unique nonabelian composition factor S of G is not a group of Lie type over a field of characteristic other than p .

Proof. Assume the opposite. By Lemma 2.9, S contains an element whose order is bounded from below by a polynomial $h(q)$ in q of degree 6.

Let V be a Sylow v -subgroup of S . Let $\sigma(m) = \sigma(m, S)$ be as in Lemma 1.4. The equality $|G| = |L|$ and Lemma 1.6 implies that $|V|$ is at most $f(q)^{3n/2}$. Therefore

$$u^{m/2} \leq f(q)^{3n/2\sigma(m)} \quad (4.1)$$

for Ree and Suzuki groups,

$$u^m \leq f(q)^{3n/2\sigma(m)} \quad (4.2)$$

for other groups. By Lemma 1.3 and the inequalities $(u+1)/(u-1) \leq (v+1)/(v-1)$ and $u/(u-1) \leq v/(v-1)$, it follows from (4.1) and (4.2) that

$$h(q) \leq C f(q)^{3n/2\sigma(m)}, \quad (4.3)$$

where $C = v/(v-1)$ for $S \not\cong E_8(u)$ and $C = (v+1)/(v-1)$ for $S \cong E_8(u)$.

We show that the ratio $n/\sigma(m)$ is at most 2. Then the left-hand side of (4.3) contains a polynomial in q of degree 6, while the right-hand side contains a polynomial in q of degree 3. Thus we derive a contradiction for all sufficiently large q . The case of small q will be handled separately.

To estimate the ratio $n/\sigma(m)$, we use Lemma 2.2(2) and the information on cocliques of prime graphs of finite simple groups from [29, 34].

Since $t(B_n(q)) = t(C_n(q)) = [(3n+5)/4] \geq 6$ for $n \geq 7$ and $t(D_n(q)) = [(3n+1)/4] \geq 6$ for even $n \geq 8$, it follows by Lemma 2.2 that $t(S) \geq t(L) - 1 \geq 5$. In particular, S is either a classical group or a group of one of the types E_m^ε , F_4 , 2F_4 , and 2G_2 . Observe that $S \not\cong {}^2G_2(u)$ since every coclique of $GK({}^2G_2(u))$ of size 5 contains the vertex 3, while the prime graph of L always includes a coclique of size 6 and without the vertex 3. Furthermore, S is other than ${}^2D_5(2)$, $F_4(2)$ and ${}^2F_4(8)$ because $t({}^2D_5(2)) = 3$ and $t(F_4(2)) = t({}^2F_4(8)) = 4$.

Suppose that $S \simeq A_m^\varepsilon(u)$. It follows from $t(S) \geq 5$ that $m \geq 8$. For such m , the independent number of the prime graph of S is equal to $\lceil (m+2)/2 \rceil$. Therefore $(m+2)/2 \geq \lceil (3n+1)/4 \rceil - 1 \geq (3n-2)/4 - 1$ and so $n \leq (2m+10)/3$. On the other hand, by Lemma 1.4, we have $\sigma(m) = (m+1)/2$. Thus $n/\sigma(m) \leq (4m+20)/(3m+3) = 4/3 + 16/(3m+3) \leq 4/3 + 16/27 < 2$.

Suppose that S is isomorphic to one of the groups $B_m(u)$, $C_m(u)$, $D_m^\varepsilon(u)$. By $t(S) \geq 5$, the rank m is at least 5. Since $t(S) \leq \lceil (3m+5)/4 \rceil$, it follows that $(3m+5)/4 \geq \lceil (3n+1)/4 \rceil - 1 \geq (3n-2)/4 - 1$. Then $n \leq m + 11/3$, i. e., $n \leq m + 3$. By Lemma 1.4, $\sigma(m) \geq m - 1$. Therefore $n/\sigma(m) \leq (m+3)/(m-1) = 1 + 4/(m-1) \leq 2$.

If $S \simeq E_8(u)$ then $t(S) = 12$. Thus $t(L) \leq 13$ and so $n \leq 18$. Taking into account that $\sigma(m) = 15$, we obtain that $n/\sigma(m) \leq 6/5$.

If $S \simeq E_7(u)$ then $t(S) = 8$ and so $n \leq 12$. Since $\sigma(m) = 9$, the inequality $n/\sigma(m) \leq 4/3$ holds.

If $S \simeq E_6^\varepsilon(u)$, $S \simeq F_4(u)$ or $S \simeq {}^2F_4(u)$ then $t(S) \leq 5$, and so $n \leq 8$. For all these groups, $\sigma(m) = 6$. Therefore $n/\sigma(m) \leq 4/3$.

Thus in all cases under consideration except when $S \simeq E_8(u)$, (4.3) yields $h(q) \leq v/(v-1) \cdot f(q)^3$, while in the case $S \simeq E_8(u)$, it yields $h(q) \leq (v+1)/(v-1) \cdot f(q)^{9/5}$. We show that the second inequality is stronger than the first one. Indeed, $(v+1)f(q)^{9/5}/(vf(q)^3) = (v+1)/(vf(q)^{6/5}) \leq 3/(2f(q)) < 1$ and so the right-hand side of the second inequality is less than the corresponding side of the first one. Hence for all groups S , we have

$$h(q) \leq \frac{v}{v-1} f(q)^3. \quad (4.4)$$

LEMMA 4.1. *Let q be a prime power, v be a prime coprime to q , $f(q)$ be the function defined in Lemma 1.6, and $h(q)$ be the function defined in Lemma 2.9(1). If $h(q) \leq v/(v-1) \cdot f(q)^3$, then $q = 3$ and $v = 2$.*

Proof. Since $h(q) \geq (q^7+1)/7(q+1)$, $v/(v-1) \leq 2$ and $f(q) \leq 2(q+1)$, the inequality in the statement yields

$$q^7 + 1 \leq 7(2(q+1))^4,$$

and hence $q \leq 5$. If $q \leq 5$ then $7 \nmid q^2 - 1$, so $h(q) = (q^7+1)/(q+1)$, and the inequality in

the statement yields

$$q^7 + 1 \leq (2(q + 1))^4,$$

whence $q \leq 3$.

Let $q = 3$ and $v > 3$. Then $f(q) = q = 3$, therefore, $3^7 + 1 \leq 2 \cdot 3^3(3 + 1)$, and so $3^4 \leq 2(3 + 1)$, but this is false. Thus if $q = 3$ then $v = 2$.

Let $q = 2$. Then $v \geq 3$ and so $v/(v - 1) \leq 3/2$. Since $f(q) = q + 1 = 3$, we derive that $2^7 + 1 \leq 3/2 \cdot 3^4$, which is false. The lemma is proved.

By the above lemma, the inequality (4.4) leads to a contradiction provided that $(q, v) \neq (3, 2)$. Let $q = 3$ and $v = 2$. Then $f(q) = 8$. Let $S \simeq {}^2F_4(u)$. Then $u \geq 32$ and $n/\sigma(m) \leq 4/3$. On the other hand, it follows from (4.1) that $u^2 \leq f(q)^2 = 2^6$; a contradiction. Let S be isomorphic to one of the groups $E_8(u)$, $E_7(u)$, $E_6^c(u)$ and $F_4(u)$. Then $n/\sigma(m) \leq 4/3$, and the inequality (4.2) implies that $u^m \leq f(q)^2 = 2^6$. Since $S \not\cong F_4(2)$, we have $S \simeq E_6^c(2)$, and so $n \leq 8$. The group $E_6(2)$ contains an element of order 31 and the group ${}^2E_6(2)$ contains an element of order 19. Since $e(31, 3) = 15$ and $e(19, 3) = 18$, there are no elements of orders 31 and 19 in L ; a contradiction. Let S be a classical group. Recall that if S is a linear or unitary group then $m \geq 8$, and if S is a symplectic or orthogonal group then $m \geq 5$. Since $n/\sigma(m) \leq 2$, it follows from (4.2) that $u^m \leq f(q)^3 = 2^9$. Therefore $u = 2$ and $m \leq 9$, so $n \leq 12$. Since $S \not\cong {}^2D_5(2)$, S contains an element of order $r_5(2) = 31$ or an element of order $r_{14}(2) = 43$ but L does not contain elements of those orders; a contradiction. The proposition is proved.

We proceed to the case when $n \leq 6$. Now $h(q)$ is a polynomial in q of forth or second degree depending on L , and we need more accurate estimate of the order of a Sylow v -subgroup of L . By Lemma 1.6, its order is at most $f(q)^n \cdot v^{\delta(n,v)}$, where $\delta(n, v) = \sum_{l=1}^{\infty} [n/v^l]$. Therefore

$$u^{m/2} \leq v^{\delta(n,v)/\sigma(m)} f(q)^{n/\sigma(m)} \quad (4.5)$$

for Ree and Suzuki groups,

$$u^m \leq v^{\delta(n,v)/\sigma(m)} f(q)^{n/\sigma(m)} \quad (4.6)$$

for other groups, and in either case

$$h(q) \leq C v^{\delta(n,v)/\sigma(m)} f(q)^{n/\sigma(m)}, \quad (4.7)$$

where, as above, $C = v/(v-1)$ for $S \not\cong E_8(u)$ and $C = (v+1)/(v-1)$ for $S \simeq E_8(u)$.

If the ratio $n/\sigma(m)$ is less than the degree of $h(q)$, the inequality (4.7) holds true only for finitely many values of q . Thus either $\sigma(m)$ is small as compared to n or q takes finitely many values.

LEMMA 4.2. *Let q be a prime power, v be a prime coprime to q , $f(q)$ be the function defined in Lemma 1.6, and $h(q) = (q^4 + 1)/2$.*

(1) *If $h(q) \leq v^{\delta(6,v)/2} \cdot v/(v-1) \cdot a(q)^3$ then either $q = 2, 4, 8$, or $v > 2$ and $q = 3, 5, 7$, or $v = 2$ and $q = 3, 5, 7, 9, 11, 13, 17, 31, 127$.*

(2) *If $h(q) \leq v^{2\delta(6,v)/5} \cdot v/(v-1) \cdot f(q)^{12/5}$ then either $q = 2, 4$, or $v = 2$ and $q = 3, 5, 7, 9$.*

(3) *If $h(q) \leq v^{\delta(6,v)/3} \cdot v/(v-1) \cdot f(q)^2$ or $h(q) \leq v^{\delta(4,v)/2} \cdot v/(v-1) \cdot f(q)^2$ then either $q = 2$, or $v = 2$ and $q = 3, 5, 7$.*

Proof. (1) Since $v^{\delta(6,v)/2} \leq 4$, $v/(v-1) \leq 2$ and $f(q) \leq 2(q+1)$, the inequality in the statement implies that $q^4 + 1 \leq 16(2(q+1))^3$, whence $q \leq 128$.

Suppose that q is not equal to 9 and distinct from the Mersenne and Fermat primes. Then neither $q+1$ nor $q-1$ is a power of 2, so $f(q) \leq q+1$ and $q^4 + 1 \leq 16(q+1)^3$, and hence $q \leq 17$. Thus either $q = 127$ or $q = 31$ or $q \leq 17$.

Let $q = 2, 4, 8, 16$. Then $v \geq 3$, and so $v^{\delta(6,v)/2} \leq 3$, $v/(v-1) \leq 3/2$ and $f(q) \leq q+1$. We have $q^4 + 1 \leq 9(q+1)^3$, which is false for $q = 16$. Thus $q = 2, 4, 8$.

Let $q = 3, 5, 7, 9, 11, 13, 17, 31, 127$ and $v > 2$. Then $v^{\delta(6,v)/2} \leq 3$, $v/(v-1) \leq 3/2$ and $f(q) \leq q$. We have $q^4 + 1 \leq 9q^3$, which is false for $q > 7$. Thus $q = 3, 5, 7$.

(2) The inequality in (2) is stronger than that in (1), so the conclusion of (1) holds.

Let $v > 2$ and $q = 2, 3, 4, 5, 7, 8$. Then $v^{2\delta(6,v)/5} \leq 3^{4/5}$, $v/(v-1) \leq 3/2$. Since $f(q) \leq q+1$ for even q and $f(q) \leq q$ for odd q , we deduce that even numbers must satisfy the inequality $q^4 + 1 \leq 3^{4/5} \cdot 3(q+1)^{12/5}$, while odd ones must satisfy $q^4 + 1 \leq 3^{4/5} \cdot 3q^{12/5}$. The first inequality is false for $q = 8$ and the second one is false for $q = 5, 7$, therefore $q = 2, 3, 4$. Let $q = 3$. Then $v \geq 5$, so $v^{2\delta(6,v)/5} \leq 5^{2/5}$, $v/(v-1) \leq 5/4$, and hence $2(q^4 + 1) \leq 5^{2/5} \cdot 5q^{12/5}$,

but this is false for $q = 3$. Thus $q = 2, 4$.

Let $v = 2$. Then $v^{2\delta(6,v)/5} = 2^{8/5}$, $v/(v-1) = 2$ and $f(q) = (q^2 - 1)_2$, so the inequality $q^4 + 1 \leq 2^{8/5} \cdot 4((q^2 - 1)_2)^{12/5}$ must be true. A direct check shows that it is false for $q = 11, 13, 17, 31, 127$.

(3) Both inequalities in (3) are stronger than the inequality in (2), so the conclusion of (2) holds.

Let $q = 2, 4$. Then $v^{\delta(4,v)/2} \leq v^{\delta(6,v)/3} \leq 3^{2/3}$, $v/(v-1) \leq 3/2$ and $f(q) \leq q + 1$. We derive that $q^4 + 1 \leq 3^{2/3} \cdot 3(q+1)^2$, which is false when $q = 4$.

Let $v = 2$. Then $v^{\delta(6,v)/3} \leq v^{\delta(4,v)/2} = 2^{3/2}$, $v/(v-1) = 2$ and $f(q) = (q^2 - 1)_2$. It follows that $q^4 + 1 \leq 2^{3/2} \cdot 4((q^2 - 1)_2)^2$, whence $q \leq 7$. The lemma is proved.

Proposition 4.2. *Let L be one of the groups $B_4(q)$, $C_4(q)$, $B_5(q)$, $C_5(q)$, $B_6(q)$, $C_6(q)$, $D_6(q)$, where q is a power of a prime p , and G be a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$. Then the unique nonabelian composition factor S of G is not a group of Lie type over a field of characteristic other than p .*

Proof. Assume the opposite. By Lemma 2.9, $h(q) = (q^4 + 1)/2$.

I. Let L be one of the groups $B_6(q)$, $C_6(q)$, $B_5(q)$, $C_5(q)$, and $L \neq B_5(2)$. Then $t(L) = 5$. Moreover, $GK(L)$ contains a coclique of size 5a and without the vertices 2 and 3. It follows that $GK(S) \setminus \{2, 3\}$ must contain a coclique of size 4. Therefore S is distinct from ${}^2B_2(u)$, $G_2(u)$, $B_2(u)$, $B_3(u)$, $C_3(u)$, $D_4(u)$, ${}^3D_4(u)$ and $A_m^\varepsilon(u)$, where $m \leq 5$, and from $B_4(2)$, ${}^2D_4(2)$, ${}^2D_5(2)$, $A_6(2)$, $A_7(2)$ as well. In particular, $\sigma(m) \geq 3$, so $n/\sigma(m) \leq 2$ and $v^{\delta(n,v)/\sigma(m)} \leq v^{\delta(6,v)/3}$. Therefore if $S \not\cong E_8(u)$ then (4.7) yields $h(q) \leq v/(v-1) \cdot v^{\delta(6,v)/3} f(q)^2$, while if $S \cong E_8(u)$ it yields $h(q) \leq (v+1)/(v-1) \cdot v^{\delta(6,v)/15} f(q)^{2/5}$. It is easy to verify that the second inequality is stronger than the first one, so in either case

$$h(q) \leq \frac{v}{v-1} v^{\delta(6,v)/3} f(q)^2.$$

By Lemma 4.2(3), either $q = 2$, or $v = 2$ and $q = 3, 5, 7$.

Let $q = 2$. Then $f(q) \leq 3$, and it follows from (4.5), (4.6) that $u^{m/2} \leq 2^{4/3} \cdot 9$ for Ree and Suzuki groups, and that $u^m \leq 2^{4/3} \cdot 9$ for other groups. Since $m > 1$, we have $u = 3$ and $m = 2$. This is impossible in view of the restrictions mentioned above and the fact that

${}^2G_2(3)$ is not simple.

Let $v = 2$ and $q = 3, 5, 7$. If $S \simeq {}^2F_4(u)$, where $u \geq 8$, then it follows from (4.5) that $2^6 \leq u^2 \leq 2^{\delta(6,2)/3}(q^2 - 1)_2^2 \leq 2^{4/3}2^8$, whence $u = 8$ and $S \simeq {}^2F_4(8)$. For other groups, (4.6) yields $u^m \leq 2^{4/3}2^8$. Since $m > 3$, we infer that either $u = 2$ and $4 \leq m \leq 9$, or $u = 4$ and $m = 4$. In the last case, in view of the restriction mentioned above, S is isomorphic to one of the groups $B_4(4)$, ${}^2D_4(4)$ and $F_4(4)$.

Consider the primes non-adjacent to 2 in $GK(L)$. If $n = 6$ then they are the divisors of $k_{12}(q)$ and if $n = 5$ then they are the divisors of $k_5(q)$ for $q = 3, 7$ and divisors of $k_{10}(q)$ for $q = 5$. Therefore by Lemma 2.2, at least one of the numbers $k_{12}(3) = 73$, $k_5(3) = 121$, $k_{12}(5) = 521$, $k_{10}(5) = 601$, $k_{12}(7) = 13 \cdot 181$ and $k_5(7) = 2801$ lies in $\omega(S)$; in particular, $S \notin \{{}^2F_4(8), B_4(4), {}^2D_4(4), F_4(4)\}$. Thus $u = 2$. Since $e(73, 2) = 9$, $e(601, 2) = 25$ and $e(r, 2) \geq 110$ for $r \in \{121, 521, 13 \cdot 181, 2801\}$, of all these numbers, $\omega(S)$ can contain only 73, and so the order of S must be divisible by $2^9 - 1$. Hence $L \in \{B_6(3), C_6(3)\}$ and $S \in \{A_8(2), A_9(2), B_9(2), D_9(2), E_6(2), E_7(2), E_8(2)\}$. But then $17 \in \omega(S) \setminus \omega(L)$; a contradiction.

It remains to handle the case $L = B_5(2)$. In this case $\pi(L) = \{2, 3, 5, 7, 11, 17, 31\}$, the graph $GK(L)$ is disconnected, and $\{2, 31, 11\}$ and $\{7, 11, 17, 31\}$ are cocliques in $GK(L)$. Thus $11, 31 \in \pi(S) \subseteq \{2, 3, 5, 7, 11, 17, 31\}$, at least one of 7, 17 also lies in $\pi(S)$, and $GK(S)$ is disconnected. Using [37, Table 1], it is not hard to check that among groups of Lie type over a field of an odd characteristic, there is no group with such properties.

II. Let $L = D_6(q)$. Then $t(L) = 4$. So $t(S) \geq 3$, and $S \not\cong B_2(u)$. Suppose that S is distinct from the groups ${}^2B_2(u)$ and $A_m^\epsilon(u)$, where $m \leq 4$. Then $\sigma(m) \geq 3$, and so $n/\sigma(m) \leq 2$. Similarly to the case I, it follows that $q = 2$, or $v = 2$ and $q = 3, 5, 7$.

Let $q = 2$. Then $f(q) \leq 3$, and it follows from (4.5), (4.6) that $u^{m/2} \leq 2^{4/3} \cdot 9$ for Ree and Suzuki groups, and that $u^m \leq 2^{4/3} \cdot 9$ for other groups. By assumption $m > 1$, therefore $u = 3$ and $m = 2$. Thus $S \simeq G_2(3)$. Since 31 is not adjacent to 2 in $GK(L)$, Lemma 2.2(3) implies that 31 must divide the order of S , but this is false.

Let $v = 2$ and $q = 3, 5, 7$. If $S \simeq {}^2F_4(u)$, where $u \geq 8$, then (4.5) yields $2^6 \leq u^2 \leq 2^{\delta(6,2)/3}((q^2 - 1)_2)^2 \leq 2^{4/3} \cdot 2^8$, whence $u = 8$ and $S \simeq {}^2F_4(8)$. For other groups, it follows

from (4.6) that $u^m \leq 2^{4/3} \cdot 2^8$. Therefore $u \leq 16$ and $2 \leq m \leq 9$. Depending on q , one of the numbers $k_5(3) = 121$, $k_{10}(5) = 521$, $k_5(7) = 2801$ must lie in $\omega(S)$, but this is false.

Suppose that $S \simeq {}^2B_2(u)$, where $u = 2^{2\beta+1} \geq 8$. Then $\sigma(m) = 2$, $n/\sigma(m) = 3$, and the inequality (4.7) turns into

$$h(q) \leq \frac{v}{v-1} v^{\delta(6,v)/2} f(q)^3.$$

By Lemma 4.2(1), $q = 3, 5, 7, 9, 11, 13, 17, 31, 127$. Assume that $q = 3, 5, 11, 13$. Then $f(q) = 8$, and (4.5) yields $u \leq 4 \cdot 8^3 = 2^{11}$. On the other hand, by Lemma 2.2(3), one of the numbers $k_5(3) = 121$, $k_{10}(5) = 521$, $k_5(11) = 3221$, $k_{10}(13) = 11 \cdot 2411$ lies in $\omega(S)$. For the groups $S \simeq {}^2B_2(u)$, where $u \leq 2^{11}$, this is false. For $q = 7, 9, 17, 31, 121$ we derive a contradiction in a similar way.

Suppose that $S \simeq A_4^\varepsilon(u)$. Then $\sigma(m) = 5/2$, $n/\sigma(m) = 12/5$, and the inequality (4.7) turns into

$$h(q) \leq \frac{v}{v-1} v^{2\delta(6,v)/5} f(q)^{12/5}.$$

By Lemma 4.2(2), we infer that either $q = 2, 4$, or $v = 2$ and $q = 3, 5, 7, 9$. Furthermore, the inequality (4.6), which turns into $u^5 \leq v^{\delta(6,v)/2} f(q)^3$, must be true. If $q = 2$ then $u \geq 3$, $f(q) \leq 3$, $v^{\delta(6,v)/2} \leq 3$, so $u^5 \leq 3^4$, which is impossible. If $q = 4$ then $u \geq 3$, $f(q) \leq 5$, $v^{\delta(6,v)/2} \leq 3$, therefore $u^5 \leq 3 \cdot 5^3$, whence $u = 3$. If $S \simeq A_4(3)$ then $121 \in \omega(S) \setminus \omega(L)$ and if $S \simeq {}^2A_4(3)$ then $61 \in \omega(S) \setminus \omega(L)$; a contradiction.

Thus $v = 2$ and $q = 3, 5, 7, 9$. Then $v^{\delta(6,v)/2} = 4$, $f(q) = (q^2 - 1)_2 \leq 16$, therefore $u^5 \leq 4 \cdot 16^3 = 2^{14}$, and so $u = 2$ or $u = 4$, i. e., $S \simeq A_4^\varepsilon(2)$ or $S \simeq A_4^\varepsilon(4)$. By Lemma 2.2(3), one of the numbers $k_5(3) = 121$, $k_{10}(5) = 521$, $k_5(7) = 2801$ and $k_{10}(9) = 1181$ must be in $\omega(S)$, but this is false.

Suppose that S is isomorphic to one of the groups $A_3^\varepsilon(u)$, $A_2^\varepsilon(u)$ and $A_1(u)$. Consider a coclique $\rho = \{r_{10}, r_8, r_5, r_6\}$ (or $\rho = \{r_{10}, r_8, r_5, r_3\}$ for $L = D_6(2)$) in $GK(L)$. Observe that this coclique does not contain 2 and 3. Since the size of every coclique of $GK(S)$ not containing 2 and 3 is at most three, some number from ρ , say r_i , divides $|K| \cdot |\overline{G}/S|$, and the other three do not divide $|K| \cdot |\overline{G}/S|$ and compose a coclique in $GK(S)$. Every coclique of size three in $GK(S)$ not containing 2 and 3 includes the characteristic v . Therefore $v \in \rho \setminus \{r_i\}$

and v does not divide $|K| \cdot |\overline{G}/S|$. Let $v = r_j$. Since this equality is valid for all primitive divisors of $q^j - 1$, we conclude that $k_j(q) = v^a$ and $k_j(q) \in \omega(S)$. Since $v = r_j > 3$, S does not contain an element of order v^2 . So $k_j(q) = v$. On the other hand, $k_j(q)^3$ does not divide the order of L , and hence the order of a Sylow v -subgroup of S is at most v^2 . Therefore $S \simeq A_1(u)$. Then every coclique of size three in $GK(S)$ is of the form $\{v, w_1, w_2\}$, where w_1, w_2 are divisors of $(u-1)/(2, u-1)$ and $(u+1)/(2, u-1)$ respectively. Therefore the set $\rho \setminus \{r_i, r_j\}$ contains a divisor of $(u-1)/(2, u-1)$. Denote it by r_k .

Assume that $r = r_i$ divides $|\overline{G}/S|$. Since $r > 3$, G contains a field automorphism of S of order r . The centralizer of such an automorphism in S always contains an element of order v , so $rv \in \omega(G)$; a contradiction since $rv = r_i r_j \notin \omega(L)$.

Suppose that r divides the order of K . Let R be a Sylow r -subgroup of K . By the Frattini argument, $N_G(R)/(N_G(R) \cap K) \simeq G/K \geq S$, so we can assume that R is normal in G . Put $\tilde{G} = G/R$ and $\tilde{K} = K/R$. Then $\tilde{G}/\tilde{K} \geq S$. In S and hence in \tilde{G}/\tilde{K} as well, there is a Frobenius subgroup F with kernel of order u and cyclic complement of order r_k . Let \tilde{F} denote the preimage of F in \tilde{G} . Since $|\tilde{F}| = ur_k$ is coprime to $|\tilde{K}|$, it follows by the Shur — Zassenhaus theorem that \tilde{F} includes a Frobenius subgroup isomorphic to F . The kernel of this group acts on R freely since $rv \notin \omega(G)$. Then it follows by Lemma 1.8 that $rr_k \in \omega(G)$; a contradiction.

III. Let L be one of the groups $B_4(q)$ and $C_4(q)$, $q > 2$. If q is odd, then it follows by [20, Thm. 3] that the unique nonabelian composition factor of each finite group isospectral to L is isomorphic to L or to ${}^2D_4(q)$, so we can assume that $q \geq 4$ is even. Since $t(L) = 4$, we have that $t(S) \geq 3$, whence $S \not\cong B_2(u)$. Furthermore, $GK(L)$ is disconnected. By the Gruenberg — Kegel theorem, $n_2(L) = q^4 + 1 \in \omega(S)$ and K is nilpotent.

Suppose that $S \not\cong A_1(u), A_2^\varepsilon(u)$. Then $\sigma(m) \geq 2$. Therefore $n/\sigma(m) \leq 2$ and $v^{\delta(n,v)/\sigma(m)} \leq v^{\delta(4,v)/2}$. Handling, as above, the cases $S \not\cong E_8(u)$ and $S \simeq E_8(u)$ individually, we derive from (4.7) the inequality

$$h(q) \leq \frac{v}{v-1} v^{\delta(4,v)/2} f(q)^2.$$

By Lemma 4.2(3), we conclude that $q \in \{2, 3, 5, 7\}$ but q is even and greater than 3; a

contradiction.

Suppose that $S \simeq A_2^\varepsilon(u)$ or $S \simeq A_1(u)$, where u is odd. Consider a coclique $\{r_8, r_6, r_4, r_3\}$ in $GK(L)$. It obviously does not contain 2 and 3. Since every coclique of $GK(S)$ not containing 2 and 3 consists of at most three vertices, one of the numbers r_6, r_4, r_3 , say r_i , divides $|K| \cdot |\overline{G}/S|$, and the other two together with r_8 compose a coclique in $GK(S)$. Every coclique of size three in $GK(S)$ not containing 2 and 3 includes the characteristic v . Therefore $v \in \{r_8, r_6, r_4, r_3\}$ and v does not divide $|K| \cdot |\overline{G}/S|$. Let $v = r_j$. Since this equality is valid for all primitive divisors of $q^j - 1$, we infer that $k_j(q) = v^a$ and $k_j(q) \in \omega(S)$. Since $v = r_j > 3$, S does not contain an element of order v^2 . Thus $k_j(q) = v$. On the other hand, $k_j(q)^3$ does not divide the order of L , and so the order of a Sylow v -subgroup of S is at most v^2 . Furthermore, $S \simeq A_1(u)$. Then every coclique of size three in $GK(S)$ is of the form $\{v, w_1, w_2\}$, where w_1, w_2 are divisors of $(u-1)/2$ and $(u+1)/2$ respectively. Thus the set $\{r_8, r_6, r_4, r_3\} \setminus \{r_i, r_j\}$ contains a divisor of $(u-1)/2$.

Assume that $r = r_i$ divides $|\overline{G}/S|$. Since $r > 3$, it means that G contains a field automorphism of S of order r . The centralizer of such an automorphism in S always contains an element of order v , so $rv \in \omega(G)$; a contradiction since $rv = r_i r_j \notin \omega(L)$. Thus r divides the order of K . Since K is nilpotent and $GK(G)$ is disconnected, we can assume that K is an elementary abelian r -group, on which S acts such that $C_S(K) = 1$. There is a Frobenius subgroup with kernel of order u and cyclic complement of order $(u-1)/2$ in S , hence $r(u-1)/2 \in \omega(G)$; a contradiction. The proposition is proved.

LEMMA 4.3. *Let $q > 3$ be a prime power, v be a prime coprime to q , $f(q)$ be defined in Lemma 1.6, and $h(q) = (q^2 + 1)/2$. If*

$$h(q) \leq v^{\delta(4,v)/3} \cdot v/(v-1) \cdot f(q),$$

then either $q = 4$, or $v = 2$ and $q = 5, 7, 9$.

Proof. Since $v^{\delta(4,v)/3} \leq 2$, $v/(v-1) \leq 2$ and $f(q) \leq 2(q+1)$, the inequality in the statement yields $q^2 + 1 \leq 16(q+1)$, whence $q \leq 16$.

Suppose that $q \leq 16$ and $v > 2$. Then $v^{\delta(4,v)/3} \leq 3^{1/3} < 3/2$, $v/(v-1) \leq 3/2$ and $f(q) \leq q+1$. Therefore $2(q^2 + 1) < 9(q+1)$, and so $q \leq 5$. If $q = 5$ then $f(q) = q$, and the

inequality $50 = 2(q^2 + 1) < 9q = 45$ must be true; a contradiction. Thus $q = 4$.

Suppose that $q \leq 16$ and $v = 2$. If $q = 11, 13$ then $f(q) = 8$ and so $122 \leq q^2 + 1 \leq 8f(q) = 64$; a contradiction. Thus $q = 5, 7, 9$ and the lemma is proved.

Proposition 4.3. *Let L be one of the simple groups $B_2(q)$, $B_3(q)$, $C_3(q)$, $D_4(q)$, where q is a power of a prime p , $L \neq B_2(3)$, and G be a finite group with $\omega(G) = \omega(L)$ and $|G| = |L|$. Suppose that the unique nonabelian composition factor S of G is isomorphic to a group of Lie type over a field of a characteristic other than p .*

(1) *If $L = B_2(q)$, where $q > 3$, then S is isomorphic to one of the groups $A_1(u)$, $A_2^\varepsilon(u)$.*

(2) *If $L \in \{B_3(q), C_3(q)\}$ then S is isomorphic to one of the groups $A_m^\varepsilon(u)$, where $m \leq 4$, $B_2(u)$, and ${}^2B_2(u)$.*

(3) *If $L = D_4(q)$ then S is isomorphic to one of the groups $A_m^\varepsilon(u)$, where $m \leq 6$, $B_m(u)$ and $C_m(u)$, where $m \leq 3$, $D_4^\varepsilon(u)$, $G_2(u)$, ${}^2B_2(u)$, ${}^2G_2(u)$, and ${}^3D_4(u)$.*

Proof. In this case $h(q) = (q^2 + 1)/2$. Furthermore, by Lemma 2.7 we can assume that $q > 3$.

(1) Let $L = B_2(q)$, where $q > 3$. The graph $GK(L)$ has two connected components and $n_2(L) = (q^2 + 1)/(2, q - 1)$. Thus the graph of S is disconnected and $(q^2 + 1)/(2, q - 1) \in \omega(S)$.

Suppose that $S \not\cong A_1(u), A_2^\varepsilon(u)$. Then $\sigma(m) \geq 2$ and (4.7) yields

$$h(q) \leq \frac{v}{v-1} v^{\delta(2,v)/2} f(q) \leq \frac{v}{v-1} v^{\delta(4,v)/3} f(q).$$

By Lemma 4.3, either $q = 4$, or $v = 2$ and $q = 5, 7, 9$. In fact, the first of the previous inequalities holds neither for $q = 4$ nor for $(v, q) = (2, 9)$.

Let $v = 2$ and $q = 5$. Then $(q^2 + 1)/2 = 13$ and $\pi(L) = \{2, 3, 5, 13\}$, so S is a group of Lie type over a field of characteristic 2 and $13 \in \pi(S) \subseteq \{2, 3, 5, 13\}$. It follows from [37, Table 1] that the only groups satisfying these conditions are ${}^2F_4(2)'$ and ${}^2A_2(4)$. But $S \not\cong {}^2A_2(u)$ by assumption and S is distinct from the Tits group by Lemma 2.5.

Let $v = 2$ and $q = 7$. Then $(q^2 + 1)/2 = 25$ and $\pi(L) = \{2, 3, 5, 7\}$, therefore S is a group of Lie type over a field of characteristic 2, $\pi(S) \subseteq \{2, 3, 5, 7\}$ and $25 \in \omega(S)$. It follows from [37, табл. 1] that the only groups satisfying the first two conditions are $A_2(4)$, $A_3(2)$, $B_3(2)$, and $D_4(2)$. But none of these groups contains an element of order 25.

(2) Let $L = B_3(q)$ or $L = C_3(q)$, where $q > 3$. Suppose that the claim is false. Then $\sigma(m) \geq 3$ and (4.7) yields

$$h(q) \leq \frac{v}{v-1} v^{\delta(3,v)/3} f(q) \leq \frac{v}{v-1} v^{\delta(4,v)/3} f(q).$$

By Lemma 4.3, either $q = 4$, or $v = 2$ and $q = 5, 7, 9$.

Let $q = 4$. If $S \simeq {}^2G_2(u)$, where $u \geq 27$, then it follows from (4.5) that $27 \leq u \leq 3^{\delta(3,3)/4}(q+1)^{3/4} = 3^{1/4} \cdot 5^{3/4}$; a contradiction. For other groups, (4.6) yields $3^2 \leq u^m \leq 3^{1/4} \cdot 5^{3/4}$; a contradiction.

Let $v = 2$ and $q = 5, 7, 9$. If $S \simeq {}^2F_4(u)$, where $u \geq 8$, then it follows from (4.5) that $64 \leq u^2 \leq 2^{\delta(3,2)/4}((q^2-1)_2)^{3/4} \leq 2^{1/2} \cdot 8$; a contradiction. For other groups, (4.6) yields $2^2 \leq u^m \leq 2^{1/2} \cdot 8$, whence $u = 2$ and $m = 2, 3$. Therefore $S \simeq B_3(2)$. By Lemma 2.8, at least one of the numbers $k_4(q)$ and $k_3(q)$ lies in $\omega(S)$. This is false since $k_4(5) = k_3(9) = 13$, $k_3(5) = 31$, $k_4(7) = 25$, $k_3(7) = 19$ and $k_4(9) = 41$.

(3) Let $L = D_4(q)$ and $q > 3$. Suppose that the claim is false. Then $m \geq 4$ and $\sigma(m) \geq 4$. It follows from (4.7) that

$$h(q) \leq \frac{v}{v-1} v^{\delta(4,v)/4} f(q) \leq \frac{v}{v-1} v^{\delta(4,v)/3} f(q).$$

By Lemma 4.3, either $q = 4$, or $v = 2$ and $q = 5, 7, 9$.

Let $q = 4$. Then (4.6) yields $3^4 \leq u^m \leq 3^{\delta(4,3)/4}(q+1) = 3^{1/4} \cdot 5$; a contradiction.

Let $v = 2$ and $q = 5, 7, 9$. If $S \simeq {}^2F_4(u)$, where $u \geq 8$, then it follows from (4.5) that $64 \leq u^2 \leq 2^{\delta(4,2)/4}(q^2-1)_2 \leq 2^{3/4} \cdot 16$; a contradiction. For other groups (4.6) yields $u^m \leq 2^{3/4} \cdot 16$, whence $u = 2$ and $m = 4$. Therefore $S \simeq B_4(2)$ and $17 \in \omega(S)$, contrary to the fact that $17 \notin \omega(D_4(q))$ for $q = 5, 7, 9$. The proposition is proved.

§ 5. Proof of the theorem: Completion

As in the previous section, S is a finite group over a field of order $u = v^\beta$, where v is a prime other than p . Propositions 4.1–4.3 implies that it remains to handle the following possibilities:

(1) If $L = B_2(q)$, where $q > 3$, then S is isomorphic to one of the groups $A_1(u)$, $A_2^\varepsilon(u)$.

(2) If $L \in \{B_3(q), C_3(q)\}$ then S is isomorphic to one of the groups $A_m^\varepsilon(u)$, where $m \leq 4$, $B_2(u)$, and ${}^2B_2(u)$.

(3) If $L = D_4(q)$ then S is isomorphic to one of the groups $A_m^\varepsilon(u)$, where $m \leq 6$, $B_m(u)$ and $C_m(u)$, where $m \leq 3$, $D_4^\varepsilon(u)$, $G_2(u)$, ${}^2B_2(u)$, ${}^2G_2(u)$, and ${}^3D_4(u)$.

If $L = B_2(4)$ then $\pi(S) \subseteq \pi(L) = \{2, 3, 5, 17\}$. Furthermore, 17 is not adjacent to 2 in $GK(L)$, whence $17 \in \pi(S)$. It follows from [37, Table 1] that S must be isomorphic to $A_1(16)$ or $A_1(17)$. The first variant is impossible by Proposition 3.2. If $S \simeq A_1(17)$ then $9 \in \omega(S) \setminus \omega(L)$; a contradiction. Thus if $L = B_2(q)$ then we can assume that $q > 4$.

Suppose that p does not divide the order of the soluble radical K of G . We show that $|P|^3 > |S|$ for a Sylow p -subgroup P of S .

If $L = B_2(q)$ then $|L| = q^4(q^2 - 1)(q^4 - 1)/(2, q - 1)$ and the p -period of L is equal to p for $p > 3$ and to p^2 for $p \in \{2, 3\}$. Since $q > 4$, by Lemma 3.1 we have $|\overline{G}/S|_p \leq q$. Therefore $|P|^2 \geq (q^3)^2 > (q^2 - 1)(q^4 - 1) \geq |S|/|P|$. Thus $|P|^3 > |S|$ as claimed.

If $L = B_3(q)$ or $L = C_3(q)$ then $|L| = q^9(q^2 - 1)(q^4 - 1)(q^6 - 1)/(2, q - 1)$ and the p -period of L is at most q^2 (recall that $q > 3$). Therefore $|P|^2 \geq (q^7)^2 > (q^2 - 1)(q^4 - 1)(q^6 - 1) \geq |S|/|P|$, and we again derive the claimed inequality.

If $L = D_4(q)$ then $|L| = q^{12}(q^2 - 1)(q^4 - 1)^2(q^6 - 1)/(4, q^4 - 1)$ and the p -period is at most q^2 again. The chain of inequalities $|P|^2 \geq (q^{10})^2 > (q^2 - 1)(q^4 - 1)^2(q^6 - 1) \geq |S|/|P|$ yields $|P|^3 > |S|$.

Thus if p does not divide the order of K then by Lemma 1.7, S is isomorphic to either $A_1(u)$ or ${}^2A_2(3)$ for $p = 2$ or ${}^2A_4(2)$ for $p = 3$.

If $S \simeq {}^2A_2(3)$ then $p = 2$, and so $q \geq 4$. If $L = B_2(q)$ then an element of order $n_2(L) = q^2 + 1 \geq 17$ must lie in S , while the largest element of $\omega(S)$ is equal to 12. Similarly, if L is one of the groups $B_3(q)$, $C_3(q)$, $D_4(q)$ then elements of orders $k_3(q) = (q^2 + q + 1)/(3, q - 1)$ and $k_6(q) = (q^2 - q + 1)/(3, q + 1)$ lie in S . This is again a contradiction since $\max\{k_3(q), k_6(q)\} \geq q^2 - q + 1 \geq 13$.

If $S \simeq {}^2A_4(2)$ then $p = 3$, and so $q \geq 9$. Observe that the largest element order of S is equal to 18. Reasoning similarly to the previous paragraph, we derive a contradiction proving that S contains an element of order at least $(q^2 + 1)/2 \geq 41$ when $L = B_2(q)$ and

an element of order at least $q^2 - q + 1 \geq 73$ otherwise.

Suppose that S is isomorphic to $A_1(u)$. Since $p \neq v$, a Sylow p -subgroup P of S is cyclic. On the other hand, estimating the p -part of the order of \overline{G}/S according to Lemma 3.1, we have $|P| \geq q^3 \geq p^3$ for $L = B_2(q)$ and $|P| \geq q^7 \geq p^7$ otherwise. This is a contradiction since $p^3 \notin \omega(L)$ for $L = B_2(q)$ and $p^7 \notin \omega(L)$ otherwise.

Thus p does not divide the order of K .

I. Suppose that $L = B_2(q)$. Lemma 3.1 implies that the order of a Sylow p -subgroup of K is equal to $q^{4\gamma}$, so it follows from $|L|_p = q^4$ that p does not divide the order of \overline{G} . Furthermore, $|K|_p = q^4$ and hence a Sylow p -subgroup P of K is elementary abelian. In particular, it follows that p cannot be equal to 2 and 3, for otherwise the p -period of L is larger than that of G .

Consider the remaining possibilities for S according to (1). Suppose that $S \simeq A_2^\varepsilon(u)$. Exploiting [26, Tables 1a-1c], we conclude that either $S \simeq A_2(2)$ and $n_2(L) = (q^2 + 1)/2 \in \{3, 7\}$, or $S \simeq A_2(4)$ and $n_2(L) = (q^2 + 1)/2 \in \{3, 5, 7\}$, or $n_2(L) = (q^2 + 1)/2 = n_2(S) = (u^2 + \varepsilon u + 1)/(3, u - \varepsilon 1)$. Since $q \geq 5$, the first two possibilities can be obviously dismissed. For brevity, set $a = n_2(L) = n_2(S)$. Clearly, the order of S divides the order of the factor group $\overline{G} = G/K$, and so $|S|$ divides $|L|_{p'} = (q^2 - 1)^2 \cdot (q^2 + 1)/2 = (q^2 - 1)^2 \cdot a$. Therefore $|S|/a \leq (q^2 - 1)^2 < (2a)^2$. On the other hand, $|S| = u^3(u^2 - 1)(u^3 - \varepsilon 1)/(3, u - \varepsilon 1)$, and so $|S|/a = u^3(u^2 - 1)(u - \varepsilon 1)$. If $u > 3$ then we derive an immediate contradiction since $|S|/a > 4(u^2 + u + 1)^2 \geq (2a)^2$. The group ${}^2A_2(2)$ is not simple. The case when $S \simeq A_2(2)$ has been already eliminated. If $S \simeq {}^2A_2(3)$ then $n_2(S) = 7$ is not equal to $(q^2 + 1)/2$ for any q . Finally, if $S \simeq A_2(3)$ then $n_2(S) = 13 = (q^2 + 1)/2 = n_2(L)$ and hence $L = B_2(5)$, which is impossible, since $3^3 = |S|_3 > 3^2 = |L|_3$.

Let $S \simeq A_1(u)$. Then $a = n_2(L) = (q^2 + 1)/2$ is equal to one of the numbers v , $(u - 1)/(2, u - 1)$, $(u + 1)/(2, u - 1)$. Since S includes a Frobenius group with kernel of order u and complement of order $(u - 1)/(2, u - 1)$, each prime divisor of $(u - 1)/(2, u - 1)$ is adjacent in $GK(G)$ to every prime divisor of the order of K other than v . By assumption, p is not equal to v and divides the order of K . Therefore $a \neq (u - 1)/(2, u - 1)$. Suppose that $a = v$. Since $(a, |L|/a) = 1$, we have $u = v = a$ and, in particular, $v \neq 2$. It is

shown in the previous paragraph that $|S|/a$ must divide $(q^2 - 1)^2$. On the other hand, $(u + 1)/2 = (q^2 + 3)/4$ is coprime to $q^2 - 1$ since $(q^2 - 1, q^2 + 3) = 4$; a contradiction. If $(q^2 + 1)/2 = (u + 1)/2$ then $v = p$, a contradiction. If $(q^2 + 1)/2 = u + 1$ then $u = 2^\beta$ and $q^2 = 2^{\beta+1} + 1$, which is impossible since $q > 3$. Thus the case $L = B_2(q)$ is examined completely.

II. Let L be one of the groups $B_3(q)$, $C_3(q)$, $D_4(q)$. It follows by Lemma 3.2 that $(k_4(q)k_3(q)k_6(q), |K| \cdot |\overline{G}/S|) = 1$.

Let $S \simeq A_1(u)$. Since a cyclic group of order $(u - 1)/(2, u - 1)$ arises as the complement of a Frobenius subgroup of S and $p \in \pi(K)$, each prime divisor of $(u - 1)/(2, u - 1)$ is adjacent to p in $GK(G)$. Therefore $k_3(q)$ and $k_6(q)$ are coprime to $u - 1$, and so one of them divides u . Thus a Sylow v -subgroup of S must be cyclic. Hence $u = v$. In particular, $v > 3$. Since $r_4(q)$ is not adjacent to $r_3(q)$ and $r_6(q)$, the number $k_4(q)$ divides $(v - 1)/2$. In particular, this implies that a Hall $\pi(k_4(q))$ -subgroup of S and so a Hall $\pi(k_4(q))$ -subgroup of L as well are cyclic. Therefore L cannot be $D_4(q)$. Hence $L = B_3(q)$ or $L = C_3(q)$. The fact that p divides K and Lemma 3.1 yield $|K|_p = q^6$, and thus $|S|_p \geq q$. Since p is not adjacent to $r_3(q)$ and $r_6(q)$, the number q divides $(v - 1)/2$. Therefore $q(q^2 + 1)/(2, q - 1)$ divides $(v - 1)/2$. Hence $q(q^2 + 1) \leq v - 1 < v \leq \max\{k_3(q), k_6(q)\} \leq q^2 + q + 1$, which is impossible. Thus $S \not\cong A_1(u)$.

Since $S \not\cong A_1(u)$, a Sylow v -subgroup of S cannot be cyclic, and so a Sylow v -subgroup of G cannot be cyclic either. Therefore v does not divide $k_3(q)$, $k_6(q)$. Suppose that v divides $k_4(q)$. Then L must be equal to $D_4(q)$. Furthermore, $(6, k_4(q)) = 1$, and so $v \geq 5$. Put $v^\gamma = (k_4(q))_v$. Since $(k_4(q), |K| \cdot |\overline{G}/S|) = 1$, we have $|S|_v = |L|_v = (k_4(q)^2)_v = v^{2\gamma}$, i. e., the order of a Sylow v -subgroup is equal to the squared v -period of S . Examining all variants accordingly (3), it is easy to check that this is impossible. Thus v does not divide $k_4(q)$ in the case $L = D_4(q)$ either. Therefore v divides $q^2 - 1$.

Denote a Sylow v -subgroup of S by V . Since $S \not\cong A_1(u)$, Lemma 1.5 yields $|V|^{8/3} > |S|$. It follows that $|V|^2 > |S|/|V|$.

Let $L = B_3(q)$ or $L = C_3(q)$. It follows by Lemma 3.1 that $|K|_p = q^6$. Therefore $|\overline{G}|_p = q^3$. Applying Lemma 3.1 one more time, we infer that $|\overline{G}/S|_p \leq q$ if p is odd or $q > 4$

and that $|\overline{G}/S|_p \leq 8$ if $q = 4$. Let $L \neq B_3(4)$. The order of S is divisible by the number $t = q^2 k_4(q) k_3(q) k_6(q) = q^2(q^2 + 1)(q^4 + q^2 + 1)/(2, q - 1)(3, q^2 - 1)$, which is coprime to v and divides $|L| = q^9(q^2 - 1)^3(3, q^2 - 1) \cdot t$. So $|V| \leq ((q^2 - 1)_v)^3$ if $v \neq 3$ and $|V| \leq 3((q^2 - 1)_3)^3$ if $v = 3$.

If v and q are odd, then $(q^2 - 1)_v \leq (q + 1)/2$. Hence $|V|^2 \leq 9((q + 1)/2)^6 < t \leq |S|/|V|$; a contradiction.

If q is even then v is odd, so $(q^2 - 1)_v \leq q + 1$. If $v \neq 3$ then $|V|^2 \leq (q + 1)^6 < t \leq |S|/|V|$; a contradiction. If $v = 3$ then $|V|^2 \leq 9(q + 1)^6 < t \leq |S|/|V|$ for $q > 4$.

If $v = 2$ then q is odd. Suppose first that $q \neq 2^\delta \pm 1$. Then $(q^2 - 1)_2 \leq 2(q + 1)/3$ and $|V|^2 \leq (2(q + 1)/3)^6 < t \leq |S|/|V|$, which is impossible. Let $q = 2^\delta + 1$. Then q is a Fermat prime or 9 and $(q^2 - 1)_2 = 2(q - 1)$. We have $|V|^2 \leq (2(q - 1))^6 < t \leq |S|/|V|$ for $q > 5$. Let $q = 2^\delta - 1$. Then q is a Mersenne prime and $(q^2 - 1)_2 = 2(q + 1)$. We have $|V|^2 \leq (2(q + 1))^6 < t \leq |S|/|V|$ for $q > 7$.

Thus it remains to consider the situation when L is one of the five groups $B_3(4) = C_3(4)$, $B_3(5)$, $C_3(5)$, $B_3(7)$, $C_3(7)$. Moreover, in all the cases except the first one we can assume that $v = 2$.

If $L = B_3(4)$ then $k_3(4) = 7$, $k_6(4) = 13$, $k_4(4) = 17$ lie in $\omega(S)$. By [37, Table 1], if S satisfies the conditions $\pi(S) \subseteq \pi(L) = \{2, 3, 5, 7, 13, 17\}$ and $|S|_r = |L|_r = r$ for $r \in \{7, 13, 17\}$ but is not isomorphic to L then $S \simeq A_2(16)$, which is impossible since $v \neq p$ by assumption.

If $L \in \{B_3(5), C_3(5)\}$ then $k_3(5) = 31$, $k_6(5) = 7$, $k_4(5) = 13$ lie in $\omega(S)$. It follows from [37, Table 1] that a group S satisfying $\{7, 13, 31\} \subseteq \pi(S) \subseteq \pi(L) = \{2, 3, 5, 7, 13, 31\}$ must be a group over a field of characteristic 5; a contradiction.

If $L \in \{B_3(7), C_3(7)\}$ then $k_3(7) = 19$, $k_6(7) = 43$, $k_4(7) = 25$ lie in $\omega(S)$. It follows from [37, Table 1] that a group S satisfying $\{5, 19, 43\} \subseteq \pi(S) \subseteq \pi(L) = \{2, 3, 5, 7, 19, 43\}$ and $|S|_5 = |L|_5 = 5^2$ must itself lie in $\{B_3(7), C_3(7)\}$; a contradiction.

Let $L = D_4(q)$. Lemma 3.1 implies that $|K|_p = q^{6\gamma}$, where $\gamma \in \{1, 2\}$. It follows that $|V| \leq ((q^2 - 1)_v)^4$, if $v \neq 3$ and $|V| \leq 3((q^2 - 1)_3)^4$ if $v = 3$.

If $|K|_p = q^6$ then $|S|/|V|$ is divisible by $t = q^4(q^2 + 1)^2(q^4 + q^2 + 1)/(2, q - 1)^2(3, q^2 - 1)$,

which is greater than $|V|^2$ in all cases except when $q = 7$ and $v = 2$.

If $|K|_p = q^{12}$ then $|S|/|V|$ is divisible by $t = (q^2 + 1)^2(q^4 + q^2 + 1)/(2, q - 1)^2(3, q^2 - 1)$. Observe that in this case $|\overline{G}|$ is not divisible by p since $|L|_p = q^{12}$. In particular, p cannot be equal to 2.

If v is odd then $|V|^2 \leq 9((q + 1)/2)^8 < t \leq |S|/|V|$ for $q > 7$.

Let $v = 2$. In this case we need a more accurate estimate on the order of a Sylow v -subgroup of S . By Lemma 1.5, $|V|^{5/3} > |S|/|V|$. Since $v = 2$, it follows that $|V| \leq ((q^2 - 1)_2)^4/4$. Suppose first that $q \neq 2^\delta - 1$. Then $(q^2 - 1)_2 \leq 2(q - 1)$ and $|V|^{5/3} \leq (2(q - 1))^{20/3}/4^{5/3} < t \leq |S|/|V|$, but this is false. If $q = 2^\delta - 1$ then q is a Mersenne prime and $(q^2 - 1)_2 = 2(q + 1)$. The chain of the inequalities $|V|^{5/3} \leq (2(q + 1))^{20/3}/4^{5/3} < t \leq |S|/|V|$ holds for $q > 7$.

Thus it remains to handle the cases when $L \in \{D_4(5), D_4(7)\}$.

If $L = D_4(5)$ then $k_3(5) = 31$, $k_6(5) = 7$, $k_4(5) = 13$ lie in $\omega(S)$. It follows from [37, Table 1] that a group S satisfying $\{7, 13, 31\} \subseteq \pi(S) \subseteq \pi(L) = \{2, 3, 5, 7, 13, 31\}$ must be a group over a field of characteristic 5; a contradiction.

If $L = D_4(7)$ then [37, Table 1] yields that a group S satisfying $\{5, 19, 43\} \subseteq \pi(S) \subseteq \pi(L) = \{2, 3, 5, 7, 19, 43\}$ and $|S|_5 = |L|_5 = 5^4$ must itself be isomorphic to $D_4(7)$; a contradiction. The theorem is proved.

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Abstract

In the article we give an affirmative answer to Question 12.39 from the Kourovka Notebook. Namely we prove that a finite simple group and a finite group having the same orders and the same sets of element orders are isomorphic.

Key words: finite group, simple group, element orders, recognizability by spectrum and order, symplectic group, orthogonal group.